

ON THE BOAS-BELLMAN INEQUALITY IN INNER PRODUCT SPACES

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ABSTRACT. New results related to the Boas-Bellman generalisation of Bessel's inequality in inner product spaces are given.

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1. INTRODUCTION

Let $(H; (\cdot, \cdot))$ be an inner product space over the real or complex number field \mathbb{K} . If $(e_i)_{1 \leq i \leq n}$ are orthonormal vectors in the inner product space H , i.e., $(e_i, e_j) = \delta_{ij}$ for all $i, j \in \{1, \dots, n\}$ where δ_{ij} is the Kronecker delta, then the following inequality is well known in the literature as Bessel's inequality (see for example [6, p. 391]):

$$(1.1) \quad \sum_{i=1}^n |(x, e_i)|^2 \leq \|x\|^2 \quad \text{for any } x \in H.$$

For other results related to Bessel's inequality, see [3] – [5] and Chapter XV in the book [6].

In 1941, R.P. Boas [2] and in 1944, independently, R. Bellman [1] proved the following generalisation of Bessel's inequality (see also [6, p. 392]).

Theorem 1. *If x, y_1, \dots, y_n are elements of an inner product space $(H; (\cdot, \cdot))$, then the following inequality:*

$$(1.2) \quad \sum_{i=1}^n |(x, y_i)|^2 \leq \|x\|^2 \left[\max_{1 \leq i \leq n} \|y_i\|^2 + \left(\sum_{1 \leq i \neq j \leq n} |(y_i, y_j)|^2 \right)^{\frac{1}{2}} \right]$$

holds.

A recent generalisation of the Boas-Bellman result was given in Mitrinović-Pečarić-Fink [6, p. 392] where they proved the following.

Theorem 2. *If x, y_1, \dots, y_n are as in Theorem 1 and $c_1, \dots, c_n \in \mathbb{K}$, then one has the inequality:*

$$(1.3) \quad \left| \sum_{i=1}^n c_i (x, y_i) \right|^2 \leq \|x\|^2 \sum_{i=1}^n |c_i|^2 \left[\max_{1 \leq i \leq n} \|y_i\|^2 + \left(\sum_{1 \leq i \neq j \leq n} |(y_i, y_j)|^2 \right)^{\frac{1}{2}} \right].$$

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They also noted that if in (1.3) one chooses $c_i = \overline{(x, y_i)}$, then this inequality becomes (1.2).

For other results related to the Boas-Bellman inequality, see [4].

In this paper we point out some new results that may be related to both the Mitrinović-Pečarić-Fink and Boas-Bellman inequalities.

2. SOME PRELIMINARY RESULTS

We start with the following lemma which is also interesting in itself.

Lemma 1. *Let $z_1, \dots, z_n \in H$ and $\alpha_1, \dots, \alpha_n \in \mathbb{K}$. Then one has the inequality:*

$$(2.1) \quad \left\| \sum_{i=1}^n \alpha_i z_i \right\|^2 \leq \begin{cases} \max_{1 \leq i \leq n} |\alpha_i|^2 \sum_{i=1}^n \|z_i\|^2; \\ \left(\sum_{i=1}^n |\alpha_i|^{2\alpha} \right)^{\frac{1}{\alpha}} \left(\sum_{i=1}^n \|z_i\|^{2\beta} \right)^{\frac{1}{\beta}}, \quad \text{where } \alpha > 1, \frac{1}{\alpha} + \frac{1}{\beta} = 1; \\ \sum_{i=1}^n |\alpha_i|^2 \max_{1 \leq i \leq n} \|z_i\|^2, \\ + \begin{cases} \max_{1 \leq i \neq j \leq n} \{|\alpha_i \alpha_j|\} \sum_{1 \leq i \neq j \leq n} |(z_i, z_j)|; \\ \left[\left(\sum_{i=1}^n |\alpha_i|^\gamma \right)^2 - \left(\sum_{i=1}^n |\alpha_i|^{2\gamma} \right) \right]^{\frac{1}{\gamma}} \left(\sum_{1 \leq i \neq j \leq n} |(z_i, z_j)|^\delta \right)^{\frac{1}{\delta}}, \\ \quad \text{where } \gamma > 1, \frac{1}{\gamma} + \frac{1}{\delta} = 1; \\ \left[\left(\sum_{i=1}^n |\alpha_i| \right)^2 - \sum_{i=1}^n |\alpha_i|^2 \right] \max_{1 \leq i \neq j \leq n} |(z_i, z_j)|. \end{cases} \end{cases}$$

Proof. We observe that

$$(2.2) \quad \begin{aligned} \left\| \sum_{i=1}^n \alpha_i z_i \right\|^2 &= \left(\sum_{i=1}^n \alpha_i z_i, \sum_{j=1}^n \alpha_j z_j \right) \\ &= \sum_{i=1}^n \sum_{j=1}^n \alpha_i \overline{\alpha_j} (z_i, z_j) = \left| \sum_{i=1}^n \sum_{j=1}^n \alpha_i \overline{\alpha_j} (z_i, z_j) \right| \\ &\leq \sum_{i=1}^n \sum_{j=1}^n |\alpha_i| |\overline{\alpha_j}| |(z_i, z_j)| \\ &= \sum_{i=1}^n |\alpha_i|^2 \|z_i\|^2 + \sum_{1 \leq i \neq j \leq n} |\alpha_i| |\alpha_j| |(z_i, z_j)|. \end{aligned}$$

Using Hölder's inequality, we may write that

$$(2.3) \quad \sum_{i=1}^n |\alpha_i|^2 \|z_i\|^2 \leq \begin{cases} \max_{1 \leq i \leq n} |\alpha_i|^2 \sum_{i=1}^n \|z_i\|^2; \\ \left(\sum_{i=1}^n |\alpha_i|^{2\alpha} \right)^{\frac{1}{\alpha}} \left(\sum_{i=1}^n \|z_i\|^{2\beta} \right)^{\frac{1}{\beta}}, \quad \text{where } \alpha > 1, \frac{1}{\alpha} + \frac{1}{\beta} = 1; \\ \sum_{i=1}^n |\alpha_i|^2 \max_{1 \leq i \leq n} \|z_i\|^2. \end{cases}$$

By Hölder's inequality for double sums we also have

$$(2.4) \quad \sum_{1 \leq i \neq j \leq n} |\alpha_i| |\alpha_j| |(z_i, z_j)| \leq \begin{cases} \max_{1 \leq i \neq j \leq n} |\alpha_i \alpha_j| \sum_{1 \leq i \neq j \leq n} |(z_i, z_j)|; \\ \left(\sum_{1 \leq i \neq j \leq n} |\alpha_i|^\gamma |\alpha_j|^\gamma \right)^{\frac{1}{\gamma}} \left(\sum_{1 \leq i \neq j \leq n} |(z_i, z_j)|^\delta \right)^{\frac{1}{\delta}}, \\ \quad \text{where } \gamma > 1, \frac{1}{\gamma} + \frac{1}{\delta} = 1; \\ \sum_{1 \leq i \neq j \leq n} |\alpha_i| |\alpha_j| \max_{1 \leq i \neq j \leq n} |(z_i, z_j)|, \\ \max_{1 \leq i \neq j \leq n} \{|\alpha_i \alpha_j|\} \sum_{1 \leq i \neq j \leq n} |(z_i, z_j)|; \\ \left[\left(\sum_{i=1}^n |\alpha_i|^\gamma \right)^2 - \left(\sum_{i=1}^n |\alpha_i|^{2\gamma} \right) \right]^{\frac{1}{\gamma}} \left(\sum_{1 \leq i \neq j \leq n} |(z_i, z_j)|^\delta \right)^{\frac{1}{\delta}}, \\ \quad \text{where } \gamma > 1, \frac{1}{\gamma} + \frac{1}{\delta} = 1; \\ \left[\left(\sum_{i=1}^n |\alpha_i| \right)^2 - \sum_{i=1}^n |\alpha_i|^2 \right] \max_{1 \leq i \neq j \leq n} |(z_i, z_j)|. \end{cases}$$

Utilising (2.3) and (2.4) in (2.2), we may deduce the desired result (2.1). ■

Remark 1. *Inequality (2.1) contains in fact 9 different inequalities which may be obtained combining the first 3 ones with the last 3 ones.*

A particular case that may be related to the Boas-Bellman result is embodied in the following inequality.

Corollary 1. *With the assumptions in Lemma 1, we have*

$$(2.5) \quad \left\| \sum_{i=1}^n \alpha_i z_i \right\|^2$$

$$\begin{aligned} &\leq \sum_{i=1}^n |\alpha_i|^2 \left\{ \max_{1 \leq i \leq n} \|z_i\|^2 + \frac{\left[\left(\sum_{i=1}^n |\alpha_i|^2 \right)^2 - \sum_{i=1}^n |\alpha_i|^4 \right]^{\frac{1}{2}}}{\sum_{i=1}^n |\alpha_i|^2} \left(\sum_{1 \leq i \neq j \leq n} |(z_i, z_j)|^2 \right)^{\frac{1}{2}} \right\} \\ &\leq \sum_{i=1}^n |\alpha_i|^2 \left\{ \max_{1 \leq i \leq n} \|z_i\|^2 + \left(\sum_{1 \leq i \neq j \leq n} |(z_i, z_j)|^2 \right)^{\frac{1}{2}} \right\}. \end{aligned}$$

The first inequality follows by taking the third branch in the first curly bracket with the second branch in the second curly bracket for $\gamma = \delta = 2$.

The second inequality in (2.5) follows by the fact that

$$\left[\left(\sum_{i=1}^n |\alpha_i|^2 \right)^2 - \sum_{i=1}^n |\alpha_i|^4 \right]^{\frac{1}{2}} \leq \sum_{i=1}^n |\alpha_i|^2.$$

Applying the following Cauchy-Bunyakovsky-Schwarz type inequality

$$(2.6) \quad \left(\sum_{i=1}^n a_i \right)^2 \leq n \sum_{i=1}^n a_i^2, \quad a_i \in \mathbb{R}_+, \quad 1 \leq i \leq n,$$

we may write that

$$(2.7) \quad \left(\sum_{i=1}^n |\alpha_i|^\gamma \right)^2 - \sum_{i=1}^n |\alpha_i|^{2\gamma} \leq (n-1) \sum_{i=1}^n |\alpha_i|^{2\gamma} \quad (n \geq 1)$$

and

$$(2.8) \quad \left(\sum_{i=1}^n |\alpha_i| \right)^2 - \sum_{i=1}^n |\alpha_i|^2 \leq (n-1) \sum_{i=1}^n |\alpha_i|^2 \quad (n \geq 1).$$

Also, it is obvious that:

$$(2.9) \quad \max_{1 \leq i \neq j \leq n} \{|\alpha_i \alpha_j|\} \leq \max_{1 \leq i \leq n} |\alpha_i|^2.$$

Consequently, we may state the following coarser upper bounds for $\|\sum_{i=1}^n \alpha_i z_i\|^2$ that may be useful in applications.

Corollary 2. *With the assumptions in Lemma 1, we have the inequalities:*

$$(2.10) \quad \left\| \sum_{i=1}^n \alpha_i z_i \right\|^2 \leq \begin{cases} \max_{1 \leq i \leq n} |\alpha_i|^2 \sum_{i=1}^n \|z_i\|^2; \\ \left(\sum_{i=1}^n |\alpha_i|^{2\alpha} \right)^{\frac{1}{\alpha}} \left(\sum_{i=1}^n \|z_i\|^{2\beta} \right)^{\frac{1}{\beta}}, \quad \text{where } \alpha > 1, \frac{1}{\alpha} + \frac{1}{\beta} = 1; \\ \sum_{i=1}^n |\alpha_i|^2 \max_{1 \leq i \leq n} \|z_i\|^2, \\ + \begin{cases} \max_{1 \leq i \leq n} |\alpha_i|^2 \sum_{1 \leq i \neq j \leq n} |(z_i, z_j)|; \\ (n-1)^{\frac{1}{\gamma}} \left(\sum_{i=1}^n |\alpha_i|^{2\gamma} \right)^{\frac{1}{\gamma}} \left(\sum_{1 \leq i \neq j \leq n} |(z_i, z_j)|^\delta \right)^{\frac{1}{\delta}}, \\ \quad \text{where } \gamma > 1, \frac{1}{\gamma} + \frac{1}{\delta} = 1; \\ (n-1) \sum_{i=1}^n |\alpha_i|^2 \max_{1 \leq i \neq j \leq n} |(z_i, z_j)|. \end{cases} \end{cases}$$

The proof is obvious by Lemma 1 in applying the inequalities (2.7) – (2.9).

Remark 2. *The following inequalities which are incorporated in (2.10) are of special interest:*

$$(2.11) \quad \left\| \sum_{i=1}^n \alpha_i z_i \right\|^2 \leq \max_{1 \leq i \leq n} |\alpha_i|^2 \left[\sum_{i=1}^n \|z_i\|^2 + \sum_{1 \leq i \neq j \leq n} |(z_i, z_j)| \right];$$

$$(2.12) \quad \left\| \sum_{i=1}^n \alpha_i z_i \right\|^2 \leq \left(\sum_{i=1}^n |\alpha_i|^{2p} \right)^{\frac{1}{p}} \left[\left(\sum_{i=1}^n \|z_i\|^{2q} \right)^{\frac{1}{q}} + (n-1)^{\frac{1}{p}} \left(\sum_{1 \leq i \neq j \leq n} |(z_i, z_j)|^q \right)^{\frac{1}{q}} \right],$$

where $p > 1, \frac{1}{p} + \frac{1}{q} = 1$; and

$$(2.13) \quad \left\| \sum_{i=1}^n \alpha_i z_i \right\|^2 \leq \sum_{i=1}^n |\alpha_i|^2 \left[\max_{1 \leq i \leq n} \|z_i\|^2 + (n-1) \max_{1 \leq i \neq j \leq n} |(z_i, z_j)| \right].$$

3. SOME MITRINOVIĆ-PEČARIĆ-FINK TYPE INEQUALITIES

We are now able to point out the following result which complements the inequality (1.3) due to Mitrinović, Pečarić and Fink [6, p. 392].

Theorem 3. Let x, y_1, \dots, y_n be vectors of an inner product space $(H; (\cdot, \cdot))$ and $c_1, \dots, c_n \in \mathbb{K}$ ($\mathbb{K} = \mathbb{C}, \mathbb{R}$). Then one has the inequalities:

$$(3.1) \quad \left| \sum_{i=1}^n c_i(x, y_i) \right|^2 \leq \|x\|^2 \times \begin{cases} \max_{1 \leq i \leq n} |c_i|^2 \sum_{i=1}^n \|y_i\|^2; \\ \left(\sum_{i=1}^n |c_i|^{2\alpha} \right)^{\frac{1}{\alpha}} \left(\sum_{i=1}^n \|y_i\|^{2\beta} \right)^{\frac{1}{\beta}}, \quad \text{where } \alpha > 1, \frac{1}{\alpha} + \frac{1}{\beta} = 1; \\ \sum_{i=1}^n |c_i|^2 \max_{1 \leq i \leq n} \|y_i\|^2, \end{cases}$$

$$+ \|x\|^2 \times \begin{cases} \max_{1 \leq i \neq j \leq n} \{|c_i c_j|\} \sum_{1 \leq i \neq j \leq n} |(y_i, y_j)|; \\ \left[\left(\sum_{i=1}^n |c_i|^\gamma \right)^2 - \left(\sum_{i=1}^n |c_i|^{2\gamma} \right) \right]^{\frac{1}{\gamma}} \left(\sum_{1 \leq i \neq j \leq n} |(y_i, y_j)|^\delta \right)^{\frac{1}{\delta}}, \\ \quad \text{where } \gamma > 1, \frac{1}{\gamma} + \frac{1}{\delta} = 1; \\ \left[\left(\sum_{i=1}^n |c_i| \right)^2 - \sum_{i=1}^n |c_i|^2 \right] \max_{1 \leq i \neq j \leq n} |(y_i, y_j)|. \end{cases}$$

Proof. We note that

$$\sum_{i=1}^n c_i(x, y_i) = \left(x, \sum_{i=1}^n \bar{c}_i y_i \right).$$

Using Schwarz's inequality in inner product spaces, we have:

$$\left| \sum_{i=1}^n c_i(x, y_i) \right|^2 \leq \|x\|^2 \left\| \sum_{i=1}^n \bar{c}_i y_i \right\|^2.$$

Now using Lemma 1 with $\alpha_i = \bar{c}_i$, $z_i = y_i$ ($i = 1, \dots, n$), we deduce the desired inequality (3.1). ■

The following particular inequalities that may be obtained by the Corollaries 1 and 2 and Remark 2 hold.

Corollary 3. *With the assumptions in Theorem 3, one has the inequalities:*

$$(3.2) \quad \left| \sum_{i=1}^n c_i(x, y_i) \right|^2 \leq \times \begin{cases} \|x\|^2 \sum_{i=1}^n |c_i|^2 \left\{ \max_{1 \leq i \leq n} \|y_i\|^2 + \left(\sum_{1 \leq i \neq j \leq n} |(y_i, y_j)|^2 \right)^{\frac{1}{2}} \right\}; \\ \|x\|^2 \max_{1 \leq i \leq n} |c_i|^2 \left\{ \sum_{i=1}^n \|y_i\|^2 + \sum_{1 \leq i \neq j \leq n} |(y_i, y_j)| \right\} \\ \|x\|^2 \left(\sum_{i=1}^n |c_i|^{2p} \right)^{\frac{1}{p}} \left\{ \left(\sum_{i=1}^n \|y_i\|^{2q} \right)^{\frac{1}{q}} + (n-1)^{\frac{1}{p}} \left(\sum_{1 \leq i \neq j \leq n} |(y_i, y_j)|^q \right)^{\frac{1}{q}} \right\}, \\ \text{where } p > 1, \frac{1}{p} + \frac{1}{q} = 1; \\ \|x\|^2 \sum_{i=1}^n |c_i|^2 \left\{ \max_{1 \leq i \leq n} \|y_i\|^2 + (n-1) \max_{1 \leq i \neq j \leq n} |(y_i, y_j)| \right\}, \end{cases}$$

Remark 3. *Note that the first inequality in (3.2) is the result obtained by Mitrinović-Pečarić-Fink in [6]. The other 3 provide similar bounds in terms of the p -norms of the vector $(|c_1|^2, \dots, |c_n|^2)$.*

4. SOME BOAS-BELLMAN TYPE INEQUALITIES

If one chooses $c_i = \overline{(x, y_i)}$ ($i = 1, \dots, n$) in (3.1), then it is possible to obtain 9 different inequalities between the Fourier coefficients (x, y_i) and the norms and inner products of the vectors y_i ($i = 1, \dots, n$). We restrict ourselves only to those inequalities that may be obtained from (3.2).

As Mitrinović, Pečarić and Fink noted in [6, p. 392], the first inequality in (3.2) for the above selection of c_i will produce the Boas-Bellman inequality (1.2).

From the second inequality in (3.2) for $c_i = \overline{(x, y_i)}$ we get

$$\left(\sum_{i=1}^n |(x, y_i)|^2 \right)^2 \leq \|x\|^2 \max_{1 \leq i \leq n} |(x, y_i)|^2 \left\{ \sum_{i=1}^n \|y_i\|^2 + \sum_{1 \leq i \neq j \leq n} |(y_i, y_j)| \right\}.$$

Taking the square root in this inequality we obtain:

$$(4.1) \quad \sum_{i=1}^n |(x, y_i)|^2 \leq \|x\| \max_{1 \leq i \leq n} |(x, y_i)| \left\{ \sum_{i=1}^n \|y_i\|^2 + \sum_{1 \leq i \neq j \leq n} |(y_i, y_j)| \right\}^{\frac{1}{2}},$$

for any x, y_1, \dots, y_n vectors in the inner product space $(H; (\cdot, \cdot))$.

If we assume that $(e_i)_{1 \leq i \leq n}$ is an orthonormal family in H , then by (4.1) we have

$$(4.2) \quad \sum_{i=1}^n |(x, e_i)|^2 \leq \sqrt{n} \|x\| \max_{1 \leq i \leq n} |(x, e_i)|, \quad x \in H.$$

From the third inequality in (3.2) for $c_i = \overline{(x, y_i)}$ we deduce

$$\begin{aligned} \left(\sum_{i=1}^n |(x, y_i)|^2 \right)^2 &\leq \|x\|^2 \left(\sum_{i=1}^n |(x, y_i)|^{2p} \right)^{\frac{1}{p}} \\ &\quad \times \left\{ \left(\sum_{i=1}^n \|y_i\|^{2q} \right)^{\frac{1}{q}} + (n-1)^{\frac{1}{p}} \left(\sum_{1 \leq i \neq j \leq n} |(y_i, y_j)|^q \right)^{\frac{1}{q}} \right\}, \end{aligned}$$

for $p > 1$, $\frac{1}{p} + \frac{1}{q} = 1$.

Taking the square root in this inequality we get

$$(4.3) \quad \sum_{i=1}^n |(x, y_i)|^2 \leq \|x\| \left(\sum_{i=1}^n |(x, y_i)|^{2p} \right)^{\frac{1}{2p}} \\ \times \left\{ \left(\sum_{i=1}^n \|y_i\|^{2q} \right)^{\frac{1}{q}} + (n-1)^{\frac{1}{p}} \left(\sum_{1 \leq i \neq j \leq n} |(y_i, y_j)|^q \right)^{\frac{1}{q}} \right\}^{\frac{1}{2}},$$

for any $x, y_1, \dots, y_n \in H$, $p > 1$, $\frac{1}{p} + \frac{1}{q} = 1$.

The above inequality (4.3) becomes, for an orthonormal family $(e_i)_{1 \leq i \leq n}$,

$$(4.4) \quad \sum_{i=1}^n |(x, e_i)|^2 \leq n^{\frac{1}{q}} \|x\| \left(\sum_{i=1}^n |(x, e_i)|^{2p} \right)^{\frac{1}{2p}}, \quad x \in H.$$

Finally, the choice $c_i = \overline{(x, y_i)}$ ($i = 1, \dots, n$) will produce in the last inequality in (3.2)

$$\left(\sum_{i=1}^n |(x, y_i)|^2 \right)^2 \leq \|x\|^2 \sum_{i=1}^n |(x, y_i)|^2 \left\{ \max_{1 \leq i \leq n} \|y_i\|^2 + (n-1) \max_{1 \leq i \neq j \leq n} |(y_i, y_j)| \right\}$$

giving the following Boas-Bellman type inequality

$$(4.5) \quad \sum_{i=1}^n |(x, y_i)|^2 \leq \|x\|^2 \left\{ \max_{1 \leq i \leq n} \|y_i\|^2 + (n-1) \max_{1 \leq i \neq j \leq n} |(y_i, y_j)| \right\},$$

for any $x, y_1, \dots, y_n \in H$.

It is obvious that (4.5) will give for orthonormal families the well known Bessel inequality.

Remark 4. In order to compare the Boas-Bellman result with our result (4.5), it is enough to compare the quantities

$$A := \left(\sum_{1 \leq i \neq j \leq n} |(y_i, y_j)|^2 \right)^{\frac{1}{2}}$$

and

$$B := (n-1) \max_{1 \leq i \neq j \leq n} |(y_i, y_j)|.$$

Consider the inner product space $H = \mathbb{R}$ with $(x, y) = xy$, and choose $n = 3$, $y_1 = a > 0$, $y_2 = b > 0$, $y_3 = c > 0$. Then

$$A = \sqrt{2} (a^2b^2 + b^2c^2 + c^2a^2)^{\frac{1}{2}}, \quad B = 2 \max(ab, ac, bc).$$

Denote $ab = p$, $bc = q$, $ca = r$. Then

$$A = \sqrt{2} (p^2 + q^2 + r^2)^{\frac{1}{2}}, \quad B = 2 \max(p, q, r).$$

Firstly, if we assume that $p = q = r$, then $A = \sqrt{6}p$, $B = 2p$ which shows that $A > B$.

Now choose $r = 1$ and $p, q = \frac{1}{2}$. Then $A = \sqrt{3}$ and $B = 2$ showing that $B > A$.

Consequently, in general, the Boas-Bellman inequality and our inequality (4.5) cannot be compared.

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