

## VECTOR INEQUALITIES FOR A PROJECTION IN HILBERT SPACES AND APPLICATIONS

S. S. DRAGOMIR<sup>1,2</sup>

ABSTRACT. In this paper we establish some vector inequalities related to Schwarz and Buzano results. We show amongst others that in an inner product space  $H$  we have the inequality

$$\frac{1}{2} [\|x\| \|y\| + |\langle x, y \rangle|] \geq |\langle Px, y \rangle|$$

for any vectors  $x, y$  and a projection  $P : H \rightarrow H$ .

Applications for norm and numerical radius inequalities of two bounded operators are given as well.

### 1. INTRODUCTION

Let  $(H, \langle \cdot, \cdot \rangle)$  be an inner product space over the real or complex numbers field  $\mathbb{K}$ . The following inequality is well known in literature as the *Schwarz inequality*

$$(1.1) \quad \|x\| \|y\| \geq |\langle x, y \rangle| \quad \text{for any } x, y \in H.$$

The equality case holds in (1.1) if and only if there exists a constant  $\lambda \in \mathbb{K}$  such that  $x = \lambda y$ .

In 1985 the author [5] (see also [23]) established the following refinement of (1.1):

$$(1.2) \quad \|x\| \|y\| \geq |\langle x, y \rangle - \langle x, e \rangle \langle e, y \rangle| + |\langle x, e \rangle \langle e, y \rangle| \geq |\langle x, y \rangle|$$

for any  $x, y, e \in H$  with  $\|e\| = 1$ .

Using the triangle inequality for modulus we have

$$|\langle x, y \rangle - \langle x, e \rangle \langle e, y \rangle| \geq |\langle x, e \rangle \langle e, y \rangle| - |\langle x, y \rangle|$$

and by (1.2) we get

$$\begin{aligned} \|x\| \|y\| &\geq |\langle x, y \rangle - \langle x, e \rangle \langle e, y \rangle| + |\langle x, e \rangle \langle e, y \rangle| \\ &\geq 2|\langle x, e \rangle \langle e, y \rangle| - |\langle x, y \rangle|, \end{aligned}$$

which implies the *Buzano inequality* [2]

$$(1.3) \quad \frac{1}{2} [\|x\| \|y\| + |\langle x, y \rangle|] \geq |\langle x, e \rangle \langle e, y \rangle|$$

that holds for any  $x, y, e \in H$  with  $\|e\| = 1$ .

For other Schwarz and Buzano related inequalities in inner product spaces, see [1]-[4], [5]-[14], [21]-[25], [29]-[38], and the monographs [16], [17] and [18].

Now, let us recall some basic facts on *orthogonal projection* that will be used in the sequel.

---

1991 *Mathematics Subject Classification.* 46C05; 26D15; 26D10.

*Key words and phrases.* Inner product spaces, Schwarz's inequality, Buzano's inequality, Projection, Operator norm, Numerical radius.

If  $K$  is a subset of a Hilbert space  $(H, \langle \cdot, \cdot \rangle)$ , the set of *vectors orthogonal* to  $K$  is defined by

$$K^\perp := \{x \in H : \langle x, k \rangle = 0 \text{ for all } k \in K\}.$$

We observe that  $K^\perp$  is a *closed subspace* of  $H$  and so forms itself a Hilbert space. If  $V$  is a closed subspace of  $H$ , then  $V^\perp$  is called the *orthogonal complement* of  $V$ . In fact, every  $x$  in  $H$  can then be written uniquely as  $x = v + w$ , with  $v$  in  $V$  and  $w$  in  $V^\perp$ . Therefore,  $H$  is the *internal Hilbert direct sum* of  $V$  and  $V^\perp$ , and we denote that as  $H = V \oplus V^\perp$ .

The linear operator  $P_V : H \rightarrow H$  that maps  $x$  to  $v$  is called *the orthogonal projection* onto  $V$ . There is a natural one-to-one correspondence between the set of all closed subspaces of  $H$  and the set of all *bounded self-adjoint* operators  $P$  such that  $P^2 = P$ . Specifically, the orthogonal projection  $P_V$  is a self-adjoint linear operator on  $H$  of norm  $\leq 1$  with the property  $P_V^2 = P_V$ . Moreover, any self-adjoint linear operator  $E$  such that  $E^2 = E$  is of the form  $P_V$ , where  $V$  is the range of  $E$ . For every  $x$  in  $H$ ,  $P_V(x)$  is the unique element  $v$  of  $V$ , which minimizes the distance  $\|x - v\|$ . This provides the geometrical interpretation of  $P_V(x)$ : it is *the best approximation* to  $x$  by elements of  $V$ .

Projections  $P_U$  and  $P_V$  are called *mutually orthogonal* if  $P_U P_V = 0$ . This is equivalent to  $U$  and  $V$  being orthogonal as subspaces of  $H$ . The sum of the two projections  $P_U$  and  $P_V$  is a projection only if  $U$  and  $V$  are orthogonal to each other, and in that case  $P_U + P_V = P_{U+V}$ . The composite  $P_U P_V$  is generally not a projection; in fact, the composite is a projection if and only if the two projections commute, and in that case  $P_U P_V = P_{U \cap V}$ .

A family  $\{e_j\}_{j \in J}$  of vectors in  $H$  is called *orthonormal* if

$$e_j \perp e_k \text{ for any } j, k \in J \text{ with } j \neq k \text{ and } \|e_j\| = 1 \text{ for any } j, k \in J.$$

If the *linear span* of the family  $\{e_j\}_{j \in J}$  is *dense* in  $H$ , then we call it an *orthonormal basis* in  $H$ .

It is well known that for any orthonormal family  $\{e_j\}_{j \in J}$  we have *Bessel's inequality*

$$\sum_{j \in J} |\langle x, e_j \rangle|^2 \leq \|x\|^2 \text{ for any } x \in H.$$

This becomes *Parseval's identity*

$$\sum_{j \in J} |\langle x, e_j \rangle|^2 = \|x\|^2 \text{ for any } x \in H,$$

when  $\{e_j\}_{j \in J}$  an orthonormal basis in  $H$ .

For an orthonormal family  $\mathcal{E} = \{e_j\}_{j \in J}$  we define the operator  $P_{\mathcal{E}} : H \rightarrow H$  by

$$(1.4) \quad P_{\mathcal{E}} x := \sum_{j \in J} \langle x, e_j \rangle e_j, \quad x \in H.$$

We know that  $P_{\mathcal{E}}$  is an *orthogonal projection* and

$$\langle P_{\mathcal{E}} x, y \rangle = \sum_{j \in J} \langle x, e_j \rangle \langle e_j, y \rangle, \quad x, y \in H \text{ and } \langle P_{\mathcal{E}} x, x \rangle = \sum_{j \in J} |\langle x, e_j \rangle|^2, \quad x \in H.$$

The particular case when the family reduces to one vector, namely  $\mathcal{E} = \{e\}$ ,  $\|e\| = 1$ , is of interest since in this case  $P_e x := \langle x, e \rangle e$ ,  $x \in H$ ,

$$(1.5) \quad \langle P_e x, y \rangle = \langle x, e \rangle \langle e, y \rangle, \quad x, y \in H$$

and Buzano's inequality can be written as

$$(1.6) \quad \frac{1}{2} [\|x\| \|y\| + |\langle x, y \rangle|] \geq |\langle P_e x, y \rangle|$$

that holds for any  $x, y, e \in H$  with  $\|e\| = 1$ .

Motivated by the above results we establish in this paper some vector inequalities for an orthogonal projection  $P$  that generalizes amongst others the Buzano inequality (1.6). Applications for norm and numerical radius inequalities are provided as well.

## 2. VECTOR INEQUALITIES FOR A PROJECTION

Assume that  $P : H \rightarrow H$  is an *orthogonal projection* on  $H$ , namely it satisfies the condition  $P^2 = P = P^*$ . We obviously have in the operator order of  $\mathcal{B}(H)$  that  $0 \leq P \leq 1_H$ .

The following result holds:

**Theorem 1.** *Let  $P : H \rightarrow H$  is an orthogonal projection on  $H$ . Then for any  $x, y \in H$  we have the inequalities*

$$(2.1) \quad \|x\| \|y\| - \langle Px, x \rangle^{1/2} \langle Py, y \rangle^{1/2} \geq |\langle x, y \rangle - \langle Px, y \rangle|.$$

and

$$(2.2) \quad \|x\| \|y\| - \left( \|x\|^2 - \langle Px, x \rangle \right)^{1/2} \left( \|y\|^2 - \langle Py, y \rangle \right)^{1/2} \geq |\langle Px, y \rangle|.$$

*Proof.* Using the properties of projection, we have

$$(2.3) \quad \begin{aligned} \langle x - Px, y - Py \rangle &= \langle x, y \rangle - \langle Px, y \rangle - \langle x, Py \rangle + \langle Px, Py \rangle \\ &= \langle x, y \rangle - 2 \langle Px, y \rangle + \langle P^2 x, y \rangle \\ &= \langle x, y \rangle - \langle Px, y \rangle \end{aligned}$$

for any  $x, y \in H$ .

By Schwarz inequality we have

$$(2.4) \quad \|x - Px\|^2 \|y - Py\|^2 \geq |\langle x - Px, y - Py \rangle|^2$$

for any  $x, y \in H$ .

Since, by (2.1), we have

$$\|x - Px\|^2 = \|x\|^2 - \langle Px, x \rangle, \quad \|y - Py\|^2 = \|y\|^2 - \langle Py, y \rangle,$$

then by (2.4) we have

$$(2.5) \quad \left( \|x\|^2 - \langle Px, x \rangle \right) \left( \|y\|^2 - \langle Py, y \rangle \right) \geq |\langle x, y \rangle - \langle Px, y \rangle|^2$$

for any  $x, y \in H$ .

Using the elementary inequality that holds for any real numbers  $a, b, c, d$

$$(ac - bd)^2 \geq (a^2 - b^2)(c^2 - d^2),$$

we have

$$(2.6) \quad \left( \|x\| \|y\| - \langle Px, x \rangle^{1/2} \langle Py, y \rangle^{1/2} \right)^2 \geq \left( \|x\|^2 - \langle Px, x \rangle \right) \left( \|y\|^2 - \langle Py, y \rangle \right)$$

for any  $x, y \in H$ .

Since

$$\|x\| \geq \langle Px, x \rangle^{1/2}, \quad \|y\| \geq \langle Py, y \rangle^{1/2},$$

then

$$\|x\| \|y\| - \langle Px, x \rangle^{1/2} \langle Py, y \rangle^{1/2} \geq 0,$$

for any  $x, y \in H$ .

By (2.5) and (2.6) we get

$$\left( \|x\| \|y\| - \langle Px, x \rangle^{1/2} \langle Py, y \rangle^{1/2} \right)^2 \geq |\langle x, y \rangle - \langle Px, y \rangle|^2$$

for any  $x, y \in H$ , which, by taking the square root, is equivalent to the desired inequality (2.1).

Observe that, if  $P$  is an orthogonal projection, then  $Q := 1_H - P$  is also a projection. Indeed we have

$$Q^2 = (1_H - P)^2 = 1_H - 2P + P^2 = 1_H - P = Q.$$

Now, if we write the inequality (2.1) for the projection  $Q$  we get the desired inequality (2.2).  $\square$

**Corollary 1.** *With the assumptions of Theorem 1, we have the following refinements of Schwarz inequality*

$$(2.7) \quad \begin{aligned} \|x\| \|y\| &\geq \langle Px, x \rangle^{1/2} \langle Py, y \rangle^{1/2} + |\langle x, y \rangle - \langle Px, y \rangle| \\ &\geq |\langle Px, y \rangle| + |\langle x, y \rangle - \langle Px, y \rangle| \geq |\langle x, y \rangle| \end{aligned}$$

and

$$(2.8) \quad \begin{aligned} \|x\| \|y\| &\geq \left( \|x\|^2 - \langle Px, x \rangle \right)^{1/2} \left( \|y\|^2 - \langle Py, y \rangle \right)^{1/2} + |\langle Px, y \rangle| \\ &\geq |\langle x, y \rangle - \langle Px, y \rangle| + |\langle Px, y \rangle| \geq |\langle x, y \rangle| \end{aligned}$$

for any  $x, y \in H$ .

**Remark 1.** *Since*

$$|\langle x, y \rangle - \langle Px, y \rangle| \geq |\langle x, y \rangle| - |\langle Px, y \rangle|$$

then by the first inequality in (2.7) we have

$$\|x\| \|y\| \geq \langle Px, x \rangle^{1/2} \langle Py, y \rangle^{1/2} + |\langle x, y \rangle| - |\langle Px, y \rangle|$$

that produces the inequality

$$(2.9) \quad \|x\| \|y\| - |\langle x, y \rangle| \geq \langle Px, x \rangle^{1/2} \langle Py, y \rangle^{1/2} - |\langle Px, y \rangle| \geq 0$$

for any  $x, y \in H$ .

We notice that the second inequality follows by Schwarz's inequality for the non-negative selfadjoint operator  $P$ .

Since

$$|\langle x, y \rangle - \langle Px, y \rangle| \geq |\langle Px, y \rangle| - |\langle x, y \rangle|$$

then by (2.7) we have

$$\begin{aligned} \|x\| \|y\| &\geq \langle Px, x \rangle^{1/2} \langle Py, y \rangle^{1/2} + |\langle x, y \rangle - \langle Px, y \rangle| \\ &\geq \langle Px, x \rangle^{1/2} \langle Py, y \rangle^{1/2} + |\langle Px, y \rangle| - |\langle x, y \rangle|, \end{aligned}$$

which implies that

$$\begin{aligned} \|x\| \|y\| + |\langle x, y \rangle| &\geq \langle Px, x \rangle^{1/2} \langle Py, y \rangle^{1/2} + |\langle Px, y \rangle| \\ &\geq 2 |\langle Px, y \rangle| \end{aligned}$$

and is equivalent to

$$(2.10) \quad \frac{1}{2} [\|x\| \|y\| + |\langle x, y \rangle|] \geq \frac{1}{2} \left[ \langle Px, x \rangle^{1/2} \langle Py, y \rangle^{1/2} + |\langle Px, y \rangle| \right] \\ \geq |\langle Px, y \rangle|$$

for any  $x, y \in H$ .

The inequality between the first and last term in (2.10), namely

$$(2.11) \quad \frac{1}{2} [\|x\| \|y\| + |\langle x, y \rangle|] \geq |\langle Px, y \rangle|$$

for any  $x, y \in H$ , is a generalization of Buzano's inequality (1.3).

From the inequality (2.8) we can state that

$$(2.12) \quad \|x\| \|y\| - |\langle Px, y \rangle| \geq \left( \|x\|^2 - \langle Px, x \rangle \right)^{1/2} \left( \|y\|^2 - \langle Py, y \rangle \right)^{1/2} \\ \geq |\langle x, y \rangle - \langle Px, y \rangle|$$

for any  $x, y \in H$ .

From the inequality (2.8) we also have

$$\|x\| \|y\| \geq \left( \|x\|^2 - \langle Px, x \rangle \right)^{1/2} \left( \|y\|^2 - \langle Py, y \rangle \right)^{1/2} + |\langle Px, y \rangle| \\ \geq |\langle x, y \rangle - \langle Px, y \rangle| + |\langle Px, y \rangle| \geq |\langle Px, y \rangle| - |\langle x, y \rangle| + |\langle Px, y \rangle| \\ = 2|\langle Px, y \rangle| - |\langle x, y \rangle|,$$

which implies that

$$(2.13) \quad \frac{1}{2} [\|x\| \|y\| + |\langle x, y \rangle|] \geq \frac{1}{2} \left[ \left( \|x\|^2 - \langle Px, x \rangle \right)^{1/2} \left( \|y\|^2 - \langle Py, y \rangle \right)^{1/2} \right] \\ + \frac{1}{2} [|\langle Px, y \rangle| + |\langle x, y \rangle|] \geq |\langle Px, y \rangle|$$

for any  $x, y \in H$ .

The case of orthonormal families which is related to Bessel's inequality is of interest.

Let  $\mathcal{E} = \{e_j\}_{j \in J}$  be an orthonormal family in  $H$ . Then for any  $x, y \in H$  we have from (2.7) and (2.8) the inequalities

$$(2.14) \quad \|x\| \|y\| \geq \left( \sum_{j \in J} |\langle x, e_j \rangle|^2 \right)^{1/2} \left( \sum_{j \in J} |\langle y, e_j \rangle|^2 \right)^{1/2} \\ + \left| \langle x, y \rangle - \sum_{j \in J} \langle x, e_j \rangle \langle e_j, y \rangle \right| \\ \geq \left| \sum_{j \in J} \langle x, e_j \rangle \langle e_j, y \rangle \right| + \left| \langle x, y \rangle - \sum_{j \in J} \langle x, e_j \rangle \langle e_j, y \rangle \right| \geq |\langle x, y \rangle|$$

and

$$\begin{aligned}
(2.15) \quad \|x\| \|y\| &\geq \left( \|x\|^2 - \sum_{j \in J} |\langle x, e_j \rangle|^2 \right)^{1/2} \left( \|y\|^2 - \sum_{j \in J} |\langle y, e_j \rangle|^2 \right)^{1/2} \\
&\quad + \left| \left\langle \sum_{j \in J} \langle x, e_j \rangle \langle e_j, y \rangle \right\rangle \right| \\
&\geq \left| \langle x, y \rangle - \sum_{j \in J} \langle x, e_j \rangle \langle e_j, y \rangle \right| + \left| \sum_{j \in J} \langle x, e_j \rangle \langle e_j, y \rangle \right| \geq |\langle x, y \rangle|.
\end{aligned}$$

By (2.9) and (2.10) we have

$$\begin{aligned}
(2.16) \quad \|x\| \|y\| - |\langle x, y \rangle| &\geq \left( \sum_{j \in J} |\langle x, e_j \rangle|^2 \right)^{1/2} \left( \sum_{j \in J} |\langle y, e_j \rangle|^2 \right)^{1/2} - \left| \left\langle \sum_{j \in J} \langle x, e_j \rangle \langle e_j, y \rangle \right\rangle \right| \geq 0
\end{aligned}$$

and

$$\begin{aligned}
(2.17) \quad \frac{1}{2} [\|x\| \|y\| + |\langle x, y \rangle|] &\geq \frac{1}{2} \left( \sum_{j \in J} |\langle x, e_j \rangle|^2 \right)^{1/2} \left( \sum_{j \in J} |\langle y, e_j \rangle|^2 \right)^{1/2} \\
&\quad + \frac{1}{2} \left| \left\langle \left\langle \sum_{j \in J} \langle x, e_j \rangle \langle e_j, y \rangle \right\rangle \right\rangle \right| \\
&\geq \left| \left\langle \sum_{j \in J} \langle x, e_j \rangle \langle e_j, y \rangle \right\rangle \right|
\end{aligned}$$

for any  $x, y \in H$ .

The inequality between the first and last term in (2.17) provides a generalization of Buzano's inequality for orthonormal families  $\mathcal{E} = \{e_j\}_{j \in J}$ .

The following result holds:

**Theorem 2.** *Let  $P : H \rightarrow H$  is an orthogonal projection on  $H$ . Then for any  $x, y \in H$  we have the inequalities*

$$(2.18) \quad |\langle x, y \rangle - 2 \langle Px, y \rangle| \leq \|x\| \|y\|,$$

$$\begin{aligned}
(2.19) \quad &|\langle x, y \rangle - \langle Px, y \rangle| \\
&\leq \min \left\{ \|x\| \left( \|y\|^2 - \langle Py, y \rangle \right)^{1/2}, \|y\| \left( \|x\|^2 - \langle Px, x \rangle \right)^{1/2} \right\} \\
&\leq \frac{1}{2} \left[ \|x\| \left( \|y\|^2 - \langle Py, y \rangle \right)^{1/2} + \|y\| \left( \|x\|^2 - \langle Px, x \rangle \right)^{1/2} \right] \\
&\leq \frac{1}{2} \left( \|x\|^2 + \|y\|^2 \right)^{1/2} \left( \|x\|^2 + \|y\|^2 - \langle Py, y \rangle - \langle Px, x \rangle \right)^{1/2}
\end{aligned}$$

and

$$\begin{aligned}
 (2.20) \quad |\langle Px, y \rangle| &\leq \min \left\{ \|x\| \langle Py, y \rangle^{1/2}, \|y\| \langle Px, x \rangle^{1/2} \right\} \\
 &\leq \frac{1}{2} \left[ \|x\| \langle Py, y \rangle^{1/2} + \|y\| \langle Px, x \rangle^{1/2} \right] \\
 &\leq \frac{1}{2} \left( \|x\|^2 + \|y\|^2 \right)^{1/2} (\langle Px, x \rangle + \langle Py, y \rangle)^{1/2}.
 \end{aligned}$$

*Proof.* Observe that

$$\begin{aligned}
 \|x - 2Px\|^2 &= \|x\|^2 - 4 \operatorname{Re} \langle x, Px \rangle + 4 \langle Px, Px \rangle \\
 &= \|x\|^2 - 4 \langle x, Px \rangle + 4 \langle P^2x, x \rangle \\
 &= \|x\|^2 - 4 \langle x, Px \rangle + 4 \langle Px, x \rangle = \|x\|^2
 \end{aligned}$$

for any  $x \in H$ .

Using Schwarz's inequality we have

$$\|x\| \|y\| = \|x - 2Px\| \|y\| \geq |\langle x - 2Px, y \rangle| = |\langle x, y \rangle - 2 \langle Px, y \rangle|$$

for any  $x, y \in H$  and the inequality (2.18) is proved.

By Schwarz's inequality we also have

$$\|x - Px\| \|y\| \geq |\langle x - Px, y \rangle| = |\langle x, y \rangle - \langle Px, y \rangle|$$

and

$$\|x\| \|y - Py\| \geq |\langle x, y - Py \rangle| = |\langle x, y \rangle - \langle x, Py \rangle| = |\langle x, y \rangle - \langle Px, y \rangle|$$

for any  $x, y \in H$ , which implies the first inequality in (2.19).

The second and the third inequalities are obvious by the elementary inequalities

$$\min \{a, b\} \leq \frac{1}{2} (a + b), \quad a, b \in \mathbb{R}_+$$

and

$$ac + bd \leq (a^2 + b^2)^{1/2} (c^2 + d^2)^{1/2}, \quad a, b, c, d \in \mathbb{R}_+.$$

The inequality (2.20) follows from (2.19) by replacing  $P$  with  $1_H - P$ .  $\square$

**Remark 2.** *By the triangle inequality we have*

$$\|x\| \|y\| + |\langle x, y \rangle| \geq |\langle x, y \rangle - 2 \langle Px, y \rangle| + |\langle x, y \rangle| \geq 2 |\langle Px, y \rangle|,$$

which implies that (see also (2.10) and (2.13))

$$(2.21) \quad \frac{1}{2} [\|x\| \|y\| + |\langle x, y \rangle|] \geq |\langle Px, y \rangle|$$

for any  $x, y \in H$ .

From (2.19) we also have

$$\begin{aligned}
 (2.22) \quad |\langle Px, y \rangle| &\leq |\langle x, y \rangle| + \min \left\{ \|x\| \left( \|y\|^2 - \langle Py, y \rangle \right)^{1/2}, \|y\| \left( \|x\|^2 - \langle Px, x \rangle \right)^{1/2} \right\}
 \end{aligned}$$

and

$$\begin{aligned}
 (2.23) \quad |\langle x, y \rangle| &\leq |\langle Px, y \rangle| + \min \left\{ \|x\| \left( \|y\|^2 - \langle Py, y \rangle \right)^{1/2}, \|y\| \left( \|x\|^2 - \langle Px, x \rangle \right)^{1/2} \right\}
 \end{aligned}$$

for any  $x, y \in H$ .

Now, if  $\mathcal{E} = \{e_j\}_{j \in J}$  is an orthonormal family then by the inequalities (2.18) and (2.19) we have

$$(2.24) \quad \left| \langle x, y \rangle - 2 \sum_{j \in J} \langle x, e_j \rangle \langle e_j, y \rangle \right| \leq \|x\| \|y\|,$$

and

$$(2.25) \quad \left| \langle x, y \rangle - \sum_{j \in J} \langle x, e_j \rangle \langle e_j, y \rangle \right| \\ \leq \min \left\{ \|x\| \left( \|y\|^2 - \sum_{j \in J} |\langle y, e_j \rangle|^2 \right)^{1/2}, \|y\| \left( \|x\|^2 - \sum_{j \in J} |\langle x, e_j \rangle|^2 \right)^{1/2} \right\} \\ \leq \frac{1}{2} \left[ \|x\| \left( \|y\|^2 - \sum_{j \in J} |\langle y, e_j \rangle|^2 \right)^{1/2} + \|y\| \left( \|x\|^2 - \sum_{j \in J} |\langle x, e_j \rangle|^2 \right)^{1/2} \right] \\ \leq \frac{1}{2} \left( \|x\|^2 + \|y\|^2 \right)^{1/2} \left( \|x\|^2 + \|y\|^2 - \sum_{j \in J} |\langle y, e_j \rangle|^2 - \sum_{j \in J} |\langle x, e_j \rangle|^2 \right)^{1/2}$$

for any  $x, y \in H$ .

From (2.22) we also have

$$(2.26) \quad \left| \sum_{j \in J} \langle x, e_j \rangle \langle e_j, y \rangle \right| \\ \leq |\langle x, y \rangle| + \min \left\{ \|x\| \left( \|y\|^2 - \sum_{j \in J} |\langle y, e_j \rangle|^2 \right)^{1/2}, \|y\| \left( \|x\|^2 - \sum_{j \in J} |\langle x, e_j \rangle|^2 \right)^{1/2} \right\}$$

for any  $x, y \in H$ .

### 3. INEQUALITIES FOR NORM AND NUMERICAL RADIUS

Let  $(H; \langle \cdot, \cdot \rangle)$  be a complex Hilbert space. The *numerical range* of an operator  $T$  is the subset of the complex numbers  $\mathbb{C}$  given by [26, p. 1]:

$$W(T) = \{ \langle Tx, x \rangle, x \in H, \|x\| = 1 \}.$$

The *numerical radius*  $w(T)$  of an operator  $T$  on  $H$  is defined by [26, p. 8]:

$$w(T) = \sup \{ |\lambda|, \lambda \in W(T) \} = \sup \{ |\langle Tx, x \rangle|, \|x\| = 1 \}.$$

It is well known that  $w(\cdot)$  is a norm on the Banach algebra  $B(H)$  and the following inequality holds true

$$w(T) \leq \|T\| \leq 2w(T), \text{ for any } T \in B(H).$$

Utilising Buzano's inequality (1.3) we obtained the following inequality for the numerical radius [13] or [14]:



**Theorem 3.** Let  $(H; \langle \cdot, \cdot \rangle)$  be a Hilbert space and  $T : H \rightarrow H$  a bounded linear operator on  $H$ . Then

$$(3.1) \quad w^2(T) \leq \frac{1}{2} \left[ w(T^2) + \|T\|^2 \right].$$

The constant  $\frac{1}{2}$  is best possible in (3.1).

The following general result for the product of two operators holds [26, p. 37]:

**Theorem 4.** If  $A, B$  are two bounded linear operators on the Hilbert space  $(H, \langle \cdot, \cdot \rangle)$ , then  $w(AB) \leq 4w(A)w(B)$ . In the case that  $AB = BA$ , then  $w(AB) \leq 2w(A)w(B)$ . The constant 2 is best possible here.

The following results are also well known [26, p. 38].

**Theorem 5.** If  $A$  is a unitary operator that commutes with another operator  $B$ , then

$$(3.2) \quad w(AB) \leq w(B).$$

If  $A$  is an isometry and  $AB = BA$ , then (3.2) also holds true.

We say that  $A$  and  $B$  double commute if  $AB = BA$  and  $AB^* = B^*A$ . The following result holds [26, p. 38].

**Theorem 6.** If the operators  $A$  and  $B$  double commute, then

$$(3.3) \quad w(AB) \leq w(B) \|A\|.$$

As a consequence of the above, we have [26, p. 39]:

**Corollary 2.** Let  $A$  be a normal operator commuting with  $B$ . Then

$$(3.4) \quad w(AB) \leq w(A)w(B).$$

A related problem with the inequality (3.3) is to find the best constant  $c$  for which the inequality

$$w(AB) \leq cw(A) \|B\|$$

holds for any two commuting operators  $A, B \in B(H)$ . It is known that  $1.064 < c < 1.169$ , see [3], [34] and [35].

In relation to this problem, it has been shown in [24] that

**Theorem 7.** For any  $A, B \in B(H)$  we have

$$(3.5) \quad w\left(\frac{AB + BA}{2}\right) \leq \sqrt{2}w(A) \|B\|.$$

For other numerical radius inequalities see the recent monograph [18] and the references therein.

The following result holds.

**Theorem 8.** Let  $P : H \rightarrow H$  be an orthogonal projection on the Hilbert space  $(H, \langle \cdot, \cdot \rangle)$ . If  $A, B$  are two bounded linear operators on  $H$ , then

$$(3.6) \quad |\langle BPAx, x \rangle| \leq \frac{1}{2} [\|Ax\| \|B^*x\| + |\langle BAx, x \rangle|]$$

and

$$(3.7) \quad \|BPAx\| \leq \frac{1}{2} [\|Ax\| \|B\| + \|BAx\|]$$

for any  $x \in H$ .

Moreover, we have

$$(3.8) \quad w(BPA) \leq \frac{1}{2} [\|A\| \|B\| + w(BA)]$$

and

$$(3.9) \quad \|BPA\| \leq \frac{1}{2} [\|A\| \|B\| + \|BA\|].$$

*Proof.* From the inequality (2.11) we have

$$|\langle PAx, B^*y \rangle| \leq \frac{1}{2} [\|Ax\| \|B^*y\| + |\langle Ax, B^*y \rangle|]$$

that is equivalent to

$$(3.10) \quad |\langle BPAx, y \rangle| \leq \frac{1}{2} [\|Ax\| \|B^*y\| + |\langle BAx, y \rangle|]$$

for any  $x, y \in H$ .

If we take  $y = x$  in (3.10), then we get (3.6).

Taking the supremum over  $y \in H$  with  $\|y\| = 1$  in (3.10) we have

$$\begin{aligned} \|BPAx\| &= \sup_{\|y\|=1} |\langle BPAx, y \rangle| \leq \frac{1}{2} \sup_{\|y\|=1} [\|Ax\| \|B^*y\| + |\langle BAx, y \rangle|] \\ &\leq \frac{1}{2} \left[ \|Ax\| \sup_{\|y\|=1} \|B^*y\| + \sup_{\|y\|=1} |\langle BAx, y \rangle| \right] \\ &= \frac{1}{2} [\|Ax\| \|B\| + \|BAx\|] \end{aligned}$$

for any  $x \in H$ .

The inequalities (3.8) and (3.9) follow from (3.6) and (3.7) by taking the supremum over  $x \in H$  with  $\|x\| = 1$ .  $\square$

**Corollary 3.** Let  $P : H \rightarrow H$  be an orthogonal projection on the Hilbert space  $(H, \langle \cdot, \cdot \rangle)$ . If  $A, B$  are two bounded linear operators on  $H$ , then

$$(3.11) \quad |\langle APAx, x \rangle| \leq \frac{1}{2} [\|Ax\| \|A^*x\| + |\langle A^2x, x \rangle|]$$

and

$$(3.12) \quad \|APAx\| \leq \frac{1}{2} [\|Ax\| \|A\| + \|A^2x\|]$$

for any  $x \in H$ .

Moreover, we have

$$(3.13) \quad w(APA) \leq \frac{1}{2} [\|A\|^2 + w(A^2)]$$

and

$$(3.14) \quad \|APA\| \leq \frac{1}{2} [\|A\|^2 + \|A^2\|].$$

**Remark 3.** Let  $e \in H$ ,  $\|e\| = 1$ . If we write the inequalities (3.6) and (3.7) for the projector  $P_e$  defined by  $P_e x = \langle x, e \rangle e$ ,  $x \in H$ , we have

$$(3.15) \quad |\langle Ax, e \rangle| |\langle Be, x \rangle| \leq \frac{1}{2} [\|Ax\| \|B^*x\| + |\langle BAx, x \rangle|]$$

and

$$(3.16) \quad |\langle Ax, e \rangle| \|Be\| \leq \frac{1}{2} [\|Ax\| \|B\| + \|BAx\|]$$

for any  $x \in H$ .

Now, if we take the supremum over  $x \in H$ ,  $\|x\| = 1$  in (3.16), then we get

$$(3.17) \quad \|A^*e\| \|Be\| \leq \frac{1}{2} [\|A\| \|B\| + \|BA\|]$$

for any  $e \in H$ ,  $\|e\| = 1$ .

If in (3.17) we take  $B = A$ , we have

$$(3.18) \quad \|A^*e\| \|Ae\| \leq \frac{1}{2} [\|A\|^2 + \|A^2\|]$$

for any  $e \in H$ ,  $\|e\| = 1$ .

If in (3.15) we take  $B = A$ , then we get

$$(3.19) \quad |\langle Ax, e \rangle| |\langle e, A^*x \rangle| \leq \frac{1}{2} [\|Ax\| \|A^*x\| + |\langle A^2x, x \rangle|]$$

for any  $x \in H$  and  $e \in H$ ,  $\|e\| = 1$ , and in particular

$$(3.20) \quad |\langle Ae, e \rangle|^2 \leq \frac{1}{2} [\|Ae\| \|A^*e\| + |\langle A^2e, e \rangle|]$$

for any  $e \in H$ ,  $\|e\| = 1$ .

Taking the supremum over  $e \in H$ ,  $\|e\| = 1$  in (3.20) we recapture the result in Theorem 3.

For a given operator  $T$  we consider the modulus of  $T$  defined as  $|T| := (T^*T)^{1/2}$ .

**Corollary 4.** Let  $P : H \rightarrow H$  be an orthogonal projection on the Hilbert space  $(H, \langle \cdot, \cdot \rangle)$ . If  $A, B$  are two bounded linear operators on  $H$ , then

$$(3.21) \quad w(BPA) \leq \frac{1}{2}w(BA) + \frac{1}{4} \left\| |A|^2 + |B^*|^2 \right\|.$$

In particular, we have

$$(3.22) \quad w(APA) \leq \frac{1}{2}w(A^2) + \frac{1}{4} \left\| |A|^2 + |A^*|^2 \right\|.$$

*Proof.* From the inequality (3.6) we have

$$(3.23) \quad |\langle BPAx, x \rangle| \leq \frac{1}{2} [\|Ax\| \|B^*x\| + |\langle BAx, x \rangle|] \\ \leq \frac{1}{2} |\langle BAx, x \rangle| + \frac{1}{4} [\|Ax\|^2 + \|B^*x\|^2]$$

for any  $x \in H$ , where for the second inequality we used the elementary inequality

$$(3.24) \quad ab \leq \frac{1}{2}(a^2 + b^2), \quad a, b \in \mathbb{R}.$$

Since

$$\|Ax\|^2 + \|B^*x\|^2 = \langle Ax, Ax \rangle + \langle B^*x, B^*x \rangle = \langle A^*Ax, x \rangle + \langle BB^*x, x \rangle \\ = \left\langle \left( |A|^2 + |B^*|^2 \right) x, x \right\rangle$$

for any  $x \in H$ , then from (3.23) we have

$$(3.25) \quad |\langle BPAx, x \rangle| \leq \frac{1}{2} |\langle BAx, x \rangle| + \frac{1}{4} \left\langle \left( |A|^2 + |B^*|^2 \right) x, x \right\rangle$$

for any  $x \in H$ .

Taking the supremum over  $x \in H$ ,  $\|x\| = 1$  in (3.25) we get the desired result (3.21).  $\square$

**Remark 4.** We observe that, by (3.20) we have

$$\begin{aligned}
 (3.26) \quad |\langle Ae, e \rangle|^2 &\leq \frac{1}{2} [\|Ae\| \|A^*e\| + |\langle A^2e, e \rangle|] \\
 &\leq \frac{1}{2} |\langle A^2e, e \rangle| + \frac{1}{4} [\|Ae\|^2 + \|A^*e\|^2] \\
 &= \frac{1}{2} |\langle A^2e, e \rangle| + \frac{1}{4} \langle (|A|^2 + |A^*|^2) e, e \rangle
 \end{aligned}$$

for any  $e \in H$ ,  $\|e\| = 1$ .

Taking the supremum over  $e \in H$ ,  $\|e\| = 1$  in (3.26) we get

$$(3.27) \quad w^2(A) \leq \frac{1}{2} w(A^2) + \frac{1}{4} \left\| |A|^2 + |A^*|^2 \right\|,$$

for any bounded linear operator  $A$ .

Since

$$\left\| |A|^2 + |A^*|^2 \right\| \leq \left\| |A|^2 \right\| + \left\| |A^*|^2 \right\| = 2 \|A\|^2,$$

then the inequality (3.27) is better than the inequality in Theorem 3.

The following result also holds:

**Theorem 9.** Let  $P : H \rightarrow H$  be an orthogonal projection on the Hilbert space  $(H, \langle \cdot, \cdot \rangle)$ . If  $A, B$  are two bounded linear operators on  $H$ , then

$$(3.28) \quad w \left( B \left( \frac{1}{2} 1_H - P \right) A \right) \leq \frac{1}{4} \left\| |A|^2 + |B^*|^2 \right\|.$$

In particular, we have

$$(3.29) \quad w \left( A \left( \frac{1}{2} 1_H - P \right) A \right) \leq \frac{1}{4} \left\| |A|^2 + |A^*|^2 \right\|.$$

*Proof.* From the inequality (2.18) we have

$$|\langle (1_H - 2P) Ax, B^*x \rangle| \leq \|Ax\| \|B^*x\|,$$

that is equivalent to

$$(3.30) \quad \left| \left\langle B \left( \frac{1}{2} 1_H - P \right) Ax, x \right\rangle \right| \leq \frac{1}{2} \|Ax\| \|B^*x\|$$

for any  $x \in H$ .

Using the elementary inequality (3.24) we have

$$\frac{1}{2} \|Ax\| \|B^*x\| \leq \frac{1}{4} (\|Ax\|^2 + \|B^*x\|^2) = \frac{1}{4} \langle (|A|^2 + |B^*|^2) x, x \rangle$$

and by (3.30) we get

$$(3.31) \quad \left| \left\langle B \left( \frac{1}{2} 1_H - P \right) Ax, x \right\rangle \right| \leq \frac{1}{4} \langle (|A|^2 + |B^*|^2) x, x \rangle$$

for any  $x \in H$ .

Taking the supremum over  $x \in H$ ,  $\|x\| = 1$  in (3.31) we get the desired result (3.28).  $\square$

**Remark 5.** *If we take in (3.28)  $P = 1_H$ , then we get [18, p. 6]*

$$(3.32) \quad w(BA) \leq \frac{1}{2} \left\| |A|^2 + |B^*|^2 \right\|$$

*for any  $A, B$  bounded linear operators on  $H$ .*

## REFERENCES

- [1] J. M. Aldaz, Strengthened Cauchy-Schwarz and Hölder inequalities. *J. Inequal. Pure Appl. Math.* **10** (2009), no. 4, Article 116, 6 pp.
- [2] M. L. Buzano, Generalizzazione della disuguaglianza di Cauchy-Schwarz. (Italian), *Rend. Sem. Mat. Univ. e Politech. Torino*, **31** (1971/73), 405–409 (1974).
- [3] K. R. Davidson and J. A. R. Holbrook, Numerical radii of zero-one matrices, *Michigan Math. J.* **35** (1988), 261–267.
- [4] A. De Rossi, A strengthened Cauchy-Schwarz inequality for biorthogonal wavelets. *Math. Inequal. Appl.* **2** (1999), no. 2, 263–282.
- [5] S. S. Dragomir, Some refinements of Schwartz inequality, Simpozionul de Matematici și Aplicații, Timișoara, Romania, 1-2 Noiembrie 1985, 13–16.
- [6] S. S. Dragomir, A generalization of Grüss' inequality in inner product spaces and applications, *J. Math. Anal. Appl.*, **237**(1999), 74–82.
- [7] S. S. Dragomir, Some Grüss type inequalities in inner product spaces, *J. Inequal. Pure and Appl. Math.*, **4**(2) Art. 42, 2003.
- [8] S. S. Dragomir, Some inequalities for power series of selfadjoint operators in Hilbert spaces via reverses of the Schwarz inequality. *Integral Transforms Spec. Funct.* **20** (2009), no. 9–10, 757–767.
- [9] S. S. Dragomir, A potpourri of Schwarz related inequalities in inner product spaces. I. *J. Inequal. Pure Appl. Math.* **6** (2005), no. 3, Article 59, 15 pp.
- [10] S. S. Dragomir, A potpourri of Schwarz related inequalities in inner product spaces. II. *J. Inequal. Pure Appl. Math.* **7** (2006), no. 1, Article 14, 11 pp.
- [11] S. S. Dragomir, Reverses of Schwarz, triangle and Bessel inequalities in inner product spaces. *J. Inequal. Pure Appl. Math.* **5** (2004), no. 3, Article 76, 18 pp.
- [12] S. S. Dragomir, New reverses of Schwarz, triangle and Bessel inequalities in inner product spaces. *Aust. J. Math. Anal. Appl.* **1** (2004), no. 1, Art. 1, 18 pp.
- [13] S. S. Dragomir, Inequalities for the norm and the numerical radius of linear operators in Hilbert spaces. *Demonstratio Math.* **40** (2007), no. 2, 411–417.
- [14] S. S. Dragomir, Some inequalities for the norm and the numerical radius of linear operators in Hilbert spaces. *Tamkang J. Math.* **39** (2008), no. 1, 1–7.
- [15] S. S. Dragomir, New inequalities of the Kantorovich type for bounded linear operators in Hilbert spaces, *Linear Algebra and its Applications* **428** (2008), 2750–2760.
- [16] S. S. Dragomir, *Advances in Inequalities of the Schwarz, Grüss and Bessel Type in Inner Product Spaces*. Nova Science Publishers, Inc., Hauppauge, NY, 2005. viii+249 pp. ISBN: 1-59454-202-3.
- [17] S. S. Dragomir, *Advances in Inequalities of the Schwarz, Triangle and Heisenberg Type in Inner Product Spaces*. Nova Science Publishers, Inc.,
- [18] S. S. Dragomir, *Inequalities for the Numerical Radius of Linear Operators in Hilbert Spaces*. Springer Briefs in Mathematics. Springer, 2013. x+120 pp. ISBN: 978-3-319-01447-0; 978-3-319-01448-7.
- [19] S. S. Dragomir, Some inequalities in inner product spaces related to Buzano's and Grüss' results, Preprint, *RGMA Res. Rep. Coll.*, **18** (2015), Art. 16. [Online <http://rgmia.org/papers/v18/v18a16.pdf>].
- [20] S. S. Dragomir, Some inequalities in inner product spaces, Preprint, *RGMA Res. Rep. Coll.*, **18** (2015), Art. 19. [Online <http://rgmia.org/papers/v18/v18a19.pdf>]
- [21] S. S. Dragomir and Anca C. Goșa, Quasilinearity of some composite functionals associated to Schwarz's inequality for inner products. *Period. Math. Hungar.* **64** (2012), no. 1, 11–24.
- [22] S. S. Dragomir and B. Mond, Some mappings associated with Cauchy-Buniakowski-Schwarz's inequality in inner product spaces. *Soochow J. Math.* **21** (1995), no. 4, 413–426.
- [23] S. S. Dragomir and I. Sándor, Some inequalities in pre-Hilbertian spaces. *Studia Univ. Babeș-Bolyai Math.* **32** (1987), no. 1, 71–78.

- [24] C. K. Fong and J. A. R. Holbrook, Unitarily invariant operators norms, *Canad. J. Math.* **35** (1983), 274-299.
- [25] H. Gunawan, On  $n$ -inner products,  $n$ -norms, and the Cauchy-Schwarz inequality. *Sci. Math. Jpn.* **55** (2002), no. 1, 53-60
- [26] K. E. Gustafson and D. K. M. Rao, *Numerical Range*, Springer-Verlag, New York, Inc., 1997.
- [27] P. R. Halmos, *A Hilbert Space Problem Book*, Springer-Verlag, New York, Heidelberg, Berlin, Second edition, 1982.
- [28] J. A. R. Holbrook, Multiplicative properties of the numerical radius in operator theory, *J. Reine Angew. Math.* **237** (1969), 166-174.
- [29] E. R. Lorch, The Cauchy-Schwarz inequality and self-adjoint spaces. *Ann. of Math. (2)* **46**, (1945). 468-473.
- [30] C. Lupu and D. Schwarz, Another look at some new Cauchy-Schwarz type inner product inequalities. *Appl. Math. Comput.* **231** (2014), 463-477.
- [31] M. Marcus, The Cauchy-Schwarz inequality in the exterior algebra. *Quart. J. Math. Oxford Ser. (2)* **17** 1966 61-63.
- [32] P. R. Mercer, A refined Cauchy-Schwarz inequality. *Internat. J. Math. Ed. Sci. Tech.* **38** (2007), no. 6, 839-842.
- [33] F. T. Metcalf, A Bessel-Schwarz inequality for Gramians and related bounds for determinants. *Ann. Mat. Pura Appl. (4)* **68** 1965 201-232.
- [34] V. Müller, The numerical radius of a commuting product, *Michigan Math. J.* **39** (1988), 255-260.
- [35] K. Okubo and T. Ando, Operator radii of commuting products, *Proc. Amer. Math. Soc.* **56** (1976), 203-210.
- [36] T. Precupanu, On a generalization of Cauchy-Buniakowski-Schwarz inequality. *An. Şti. Univ. "Al. I. Cuza" Iaşi Sect. I a Mat. (N.S.)* **22** (1976), no. 2, 173-175.
- [37] K. Trenčevski and R. Malčeski, On a generalized  $n$ -inner product and the corresponding Cauchy-Schwarz inequality. *J. Inequal. Pure Appl. Math.* **7** (2006), no. 2, Article 53, 10 pp.
- [38] G.-B. Wang and J.-P. Ma, Some results on reverses of Cauchy-Schwarz inequality in inner product spaces. *Northeast. Math. J.* **21** (2005), no. 2, 207-211.

<sup>1</sup>MATHEMATICS, SCHOOL OF ENGINEERING & SCIENCE, VICTORIA UNIVERSITY, PO BOX 14428, MELBOURNE CITY, MC 8001, AUSTRALIA.

*E-mail address:* sever.dragomir@vu.edu.au

*URL:* <http://rgmia.org/dragomir>

<sup>2</sup>SCHOOL OF COMPUTATIONAL & APPLIED MATHEMATICS, UNIVERSITY OF THE WITWATERSRAND, PRIVATE BAG 3, JOHANNESBURG 2050, SOUTH AFRICA