

ON SOME RIEMANN-STIELTJES INTEGRAL INEQUALITIES OF GENERALIZED TRAPEZOID TYPE WITH APPLICATIONS

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ABSTRACT. In this paper we provide some bounds for the error in approximating the Riemann-Stieltjes integral $\int_a^b f(t) du(t)$ by the generalized trapezoidal rule

$$[u(b) - u(x)] f(b) + [u(x) - u(a)] f(a)$$

under various assumptions for the integrand f and the integrator u for which the above integral exists. Applications for continuous functions of selfadjoint operators and unitary operators in Hilbert spaces are provided as well.

1. INTRODUCTION

In [10], in order to approximate the *Riemann-Stieltjes integral* $\int_a^b f(t) du(t)$ by the *generalized trapezoid formula*

$$(1.1) \quad [u(b) - u(x)] f(b) + [u(x) - u(a)] f(a), \quad x \in [a, b],$$

the authors considered the error functional

$$(1.2) \quad T(f, u; a, b; x) := [u(b) - u(x)] f(b) + [u(x) - u(a)] f(a) - \int_a^b f(t) du(t)$$

and proved that

$$(1.3) \quad |T(f, u; a, b; x)| \leq H \left[\frac{1}{2}(b-a) + \left| x - \frac{a+b}{2} \right| \right]^r \bigvee_a^b(f), \quad x \in [a, b],$$

provided that $f : [a, b] \rightarrow \mathbb{R}$ is of bounded variation on $[a, b]$ and u is of r - H -Hölder type, that is, $u : [a, b] \rightarrow \mathbb{R}$ satisfies the condition $|u(t) - u(s)| \leq H |t - s|^r$ for any $t, s \in [a, b]$, where $r \in (0, 1]$ and $H > 0$ are given.

If $r = 1$, namely u is *Lipschitzian* with the constant $L > 0$, then by (1.3) we get

$$(1.4) \quad |T(f, u; a, b; x)| \leq L \left[\frac{1}{2}(b-a) + \left| x - \frac{a+b}{2} \right| \right] \bigvee_a^b(f),$$

for $x \in [a, b]$, provided that $f : [a, b] \rightarrow \mathbb{R}$ is of bounded variation on $[a, b]$.

The dual case, namely, when f is of q - K -Hölder type and u is of bounded variation has been considered in [3] in which the authors obtained the bound:

$$(1.5) \quad |T(f, u; a, b; x)| \leq K \left[\frac{1}{2}(b-a) + \left| x - \frac{a+b}{2} \right| \right]^q \bigvee_a^b(u)$$

1991 *Mathematics Subject Classification.* 26D15, 41A55, 47A63.

Key words and phrases. Riemann-Stieltjes integral, Trapezoidal Quadrature Rule, Selfadjoint operators, Functions of Selfadjoint operators, Spectral representation, Inequalities for selfadjoint operators.

for any $x \in [a, b]$.

If $q = 1$, namely, if f is Lipschitzian with the constant $M > 0$, then by (1.5) we get

$$(1.6) \quad |T(f, u; a, b, x)| \leq M \left[\frac{1}{2} (b - a) + \left| x - \frac{a + b}{2} \right| \right] \bigvee_a^b(u)$$

for any $x \in [a, b]$.

For other related results, see [7]-[8] and [11]-[12].

The case where f is monotonic and u is of r - H -Hölder type, which provides a refinement for (1.3), respectively the case where u is monotonic and f of q - K -Hölder type were considered by Cheung and Dragomir in [6], while the case where one function was of Hölder type and the other was Lipschitzian were considered in [2]. For other recent results in estimating the error $T(f, u; a, b, x)$ for absolutely continuous integrands f and integrators u of bounded variation, see [4] and [5].

2. INEQUALITIES FOR INTEGRATORS OF BOUNDED VARIATION

Assume that $u, f : [a, b] \rightarrow \mathbb{C}$. If the Riemann-Stieltjes integral $\int_a^b f(t) du(t)$ exists, we write for simplicity, like in [1, p. 142] that $f \in \mathcal{R}_{\mathbb{C}}(u, [a, b])$, or $\mathcal{R}_{\mathbb{C}}(u)$ when the interval is implicitly known. If the functions u, f are real valued, then we write $f \in \mathcal{R}(u, [a, b])$, or $\mathcal{R}(u)$.

We start with the following identity of interest.

Lemma 1. *Let $f, u : [a, b] \rightarrow \mathbb{C}$ and $x \in [a, b]$ such that $f \in \mathcal{R}_{\mathbb{C}}(u, [a, b])$. Then for any $\gamma, \mu \in \mathbb{C}$,*

$$(2.1) \quad [u(b) - \mu] f(b) + [\gamma - u(a)] f(a) + (\mu - \gamma) f(x) - \int_a^b f(t) du(t) \\ = \int_a^x [u(t) - \gamma] df(t) + \int_x^b [u(t) - \mu] df(t).$$

In particular, for $\mu = \gamma$ we have

$$(2.2) \quad [u(b) - \gamma] f(b) + [\gamma - u(a)] f(a) - \int_a^b f(t) du(t) = \int_a^b [u(t) - \gamma] df(t).$$

Proof. Using integration by parts rule for the Riemann-Stieltjes integral, we have

$$\int_a^x [u(t) - \gamma] df(t) = [u(x) - \gamma] f(x) - [u(a) - \gamma] f(a) - \int_a^x f(t) du(t)$$

and

$$\int_x^b [u(t) - \mu] df(t) = [u(b) - \mu] f(b) - [u(x) - \mu] f(x) - \int_x^b f(t) du(t)$$

for any $x \in [a, b]$.

If we add these two equalities, we get

$$\begin{aligned}
& \int_a^x [u(t) - \gamma] df(t) + \int_x^b [u(t) - \mu] df(t) \\
&= [u(b) - \mu] f(b) + [\gamma - u(a)] f(a) + [\mu - u(x)] f(x) \\
&+ [u(x) - \gamma] f(x) - \int_a^x f(t) du(t) - \int_x^b f(t) du(t) \\
&= [u(b) - \mu] f(b) + [\gamma - u(a)] f(a) + (\mu - \gamma) f(x) - \int_a^b f(t) du(t)
\end{aligned}$$

for any $x \in [a, b]$, which proves the desired equality (2.1). \square

From the equality (2.2) we have for $x \in [a, b]$ and $\gamma = u(x)$ that

$$(2.3) \quad T(f, u; a, b; x) = \int_a^b [u(t) - u(x)] df(t)$$

and in particular

$$(2.4) \quad T\left(f, u; a, b; \frac{a+b}{2}\right) = \int_a^b \left[u(t) - u\left(\frac{a+b}{2}\right) \right] df(t).$$

Also, if $p \in [a, b]$ is such that $u(p) = \frac{u(a)+u(b)}{2}$, then from (2.3) we get

$$\begin{aligned}
(2.5) \quad T(f, u; a, b; p) &= [u(b) - u(a)] \frac{f(b) + f(a)}{2} - \int_a^b f(t) du(t) \\
&= \int_a^b [u(t) - u(p)] df(t).
\end{aligned}$$

We have:

Theorem 1. *Assume that $u, f \in \mathcal{BV}_{\mathbb{C}}[a, b]$ (of bounded variations) and $f \in \mathcal{C}_{\mathbb{C}}[a, b]$. Then the Riemann-Stieltjes integral $\int_a^b f(t) du(t)$ exists and*

$$\begin{aligned}
(2.6) \quad |T(f, u; a, b; x)| &\leq \int_a^x \left(\bigvee_t^x(u) \right) d \left(\bigvee_a^t(f) \right) + \int_x^b \left(\bigvee_x^t(u) \right) d \left(\bigvee_x^t(f) \right) \\
&= \int_a^x \left(\bigvee_a^t(f) \right) d \left(\bigvee_a^t(u) \right) + \int_x^b \left(\bigvee_t^b(f) \right) d \left(\bigvee_x^t(u) \right) \\
&\leq \bigvee_a^x(u) \bigvee_a^x(f) + \bigvee_x^b(u) \bigvee_x^b(f) \\
&\leq \frac{1}{2} \times \begin{cases} \left[\bigvee_a^b(f) + \left| \bigvee_a^x(f) - \bigvee_x^b(f) \right| \right] \bigvee_a^x(u), \\ \left[\bigvee_a^b(u) + \left| \bigvee_a^x(u) - \bigvee_x^b(u) \right| \right] \bigvee_a^b(f) \end{cases}
\end{aligned}$$

for all $x \in [a, b]$.

Proof. It is well known that, if $p : [a, b] \rightarrow \mathbb{C}$ is continuous and $v : [a, b] \rightarrow \mathbb{C}$ of bounded variation, then

$$(2.7) \quad \left| \int_a^b p(t) dv(t) \right| \leq \int_a^b |p(t)| d \left(\bigvee_a^t(u) \right) \leq \max_{t \in [a, b]} |p(t)| \bigvee_a^b(u).$$

By making use of the equality (2.3) we have

$$(2.8) \quad |T(f, u; a, b; x)| = \left| \int_a^x [u(t) - u(x)] df(t) + \int_x^b [u(t) - u(x)] df(t) \right| \\ \leq \left| \int_a^x [u(t) - u(x)] df(t) \right| + \left| \int_x^b [u(t) - u(x)] df(t) \right| \\ \leq \int_a^x |u(t) - u(x)| d \left(\bigvee_a^t(f) \right) + \int_x^b |u(t) - u(x)| d \left(\bigvee_x^t(f) \right) =: B(f, u, x).$$

Since u is of bounded variation, we have

$$|u(t) - u(x)| \leq \bigvee_t^x(u) \text{ for } t \in [a, x]$$

and

$$|u(t) - u(x)| \leq \bigvee_x^t(u) \text{ for } t \in [x, b],$$

hence

$$(2.9) \quad B(f, u, x) \leq \int_a^x |u(t) - u(x)| d \left(\bigvee_a^t(f) \right) + \int_x^b |u(t) - u(x)| d \left(\bigvee_x^t(f) \right) \\ \leq \int_a^x \left(\bigvee_t^x(u) \right) d \left(\bigvee_a^t(f) \right) + \int_x^b \left(\bigvee_x^t(u) \right) d \left(\bigvee_x^t(f) \right) \\ = \int_a^x \left(\bigvee_a^x(u) - \bigvee_a^t(u) \right) d \left(\bigvee_a^t(f) \right) + \int_x^b \left(\bigvee_x^t(u) \right) d \left(\bigvee_a^t(f) - \bigvee_a^x(u) \right) \\ = \int_a^x \left(\bigvee_a^x(u) - \bigvee_a^t(u) \right) d \left(\bigvee_a^t(f) \right) + \int_x^b \left(\bigvee_x^t(u) \right) d \left(\bigvee_x^t(f) \right) =: C(f, u, x).$$

Using integration by parts, we have

$$\int_a^x \left(\bigvee_a^x(u) - \bigvee_a^t(u) \right) d \left(\bigvee_a^t(f) \right) \\ = \left(\bigvee_a^x(u) - \bigvee_a^t(u) \right) \left(\bigvee_a^t(f) \right) \Big|_a^x + \int_a^x \bigvee_a^t(f) d \left(\bigvee_a^t(u) \right) \\ = \int_a^x \left(\bigvee_a^t(f) \right) d \left(\bigvee_a^t(u) \right)$$

and

$$\begin{aligned}
& \int_x^b \left(\bigvee_x^t(u) \right) d \left(\bigvee_a^t(f) \right) \\
&= \left(\bigvee_x^t(u) \right) \left(\bigvee_a^t(f) \right) \Big|_x^b - \int_x^b \left(\bigvee_a^t(f) \right) d \left(\bigvee_x^t(u) \right) \\
&= \left(\bigvee_x^b(u) \right) \left(\bigvee_a^b(f) \right) - \int_x^b \left(\bigvee_a^t(f) \right) d \left(\bigvee_x^t(u) \right) \\
&= \int_x^b \left(\bigvee_a^b(f) - \bigvee_a^t(f) \right) d \left(\bigvee_x^t(u) \right) = \int_x^b \left(\bigvee_a^b(f) \right) d \left(\bigvee_x^t(u) \right)
\end{aligned}$$

that gives

$$C(f, u, x) = \int_a^x \left(\bigvee_a^t(f) \right) d \left(\bigvee_a^t(u) \right) + \int_x^b \left(\bigvee_a^b(f) \right) d \left(\bigvee_x^t(u) \right).$$

These prove the first inequality in (2.6) and the equality after that.

Using the properties of the total variation, we also have

$$\begin{aligned}
& \int_a^x \left(\bigvee_t^x(u) \right) d \left(\bigvee_a^t(f) \right) + \int_x^b \left(\bigvee_x^t(u) \right) d \left(\bigvee_x^t(f) \right) \\
&\leq \left(\bigvee_a^x(u) \right) \int_a^x d \left(\bigvee_a^t(f) \right) + \left(\bigvee_x^b(u) \right) \int_x^b d \left(\bigvee_x^t(f) \right) \\
&= \bigvee_a^x(u) \bigvee_a^x(f) + \bigvee_x^b(u) \bigvee_x^b(f),
\end{aligned}$$

which proves the second inequality in (2.6).

The last part is obvious by the properties of maximum of two positive numbers. \square

Corollary 1. *With the assumptions of Theorem 1,*

(i) *If $q \in [a, b]$ is such that $\bigvee_a^q(f) = \bigvee_q^b(f)$, then*

$$(2.10) \quad |T(f, u; a, b; q)| \leq \frac{1}{2} \bigvee_a^b(u) \bigvee_a^b(f).$$

(ii) *If $m \in [a, b]$ is such that $\bigvee_a^m(u) = \bigvee_m^b(u)$, then*

$$(2.11) \quad |T(f, u; a, b; m)| \leq \frac{1}{2} \bigvee_a^b(u) \bigvee_a^b(f).$$

The case of monotonic integrands is as follows:

Corollary 2. Assume that $u \in \mathcal{BV}_{\mathbb{C}}[a, b]$, $f \in \mathcal{M}^{\nearrow}[a, b]$ (monotonic nondecreasing) and $f \in \mathcal{C}_{\mathbb{C}}[a, b]$. Then the Riemann-Stieltjes integral $\int_a^b f(t) du(t)$ exists and

$$\begin{aligned}
(2.12) \quad |T(f, u; a, b; x)| &\leq \int_a^x \left(\bigvee_t^x(u) \right) df(t) + \int_x^b \left(\bigvee_x^t(u) \right) df(t) \\
&= \int_a^x [f(t) - f(a)] d \left(\bigvee_a^t(u) \right) + \int_x^b [f(b) - f(t)] d \left(\bigvee_x^t(u) \right) \\
&\leq \bigvee_a^x(u) [f(x) - f(a)] + \bigvee_x^b(u) [f(b) - f(x)] \\
&\leq \begin{cases} \left[\frac{f(b)-f(a)}{2} + \left| f(x) - \frac{f(a)+f(b)}{2} \right| \right] \bigvee_a^b(u), \\ \frac{1}{2} \left[\bigvee_a^b(u) + \left| \bigvee_a^x(u) - \bigvee_x^b(u) \right| \right] [f(b) - f(a)] \end{cases}
\end{aligned}$$

for all $x \in [a, b]$.

Remark 1. Under the assumptions of Corollary 2 and if $p \in [a, b]$ with $f(p) = \frac{f(a)+f(b)}{2}$, we have

$$(2.13) \quad |T(f, u; a, b; p)| \leq \frac{1}{2} [f(b) - f(a)] \bigvee_a^b(u).$$

Also, if $m \in [a, b]$ such that $\bigvee_a^m(u) = \bigvee_m^b(u)$, then

$$(2.14) \quad |T(f, u; a, b; m)| \leq \frac{1}{2} [f(b) - f(a)] \bigvee_a^b(u).$$

We have:

Theorem 2. Assume that $u \in \mathcal{BV}_{\mathbb{C}}[a, b]$ and f is Lipschitzian with the constant $L > 0$, namely

$$|f(t) - f(s)| \leq L|t - s| \text{ for all } t, s \in [a, b],$$

then the Riemann-Stieltjes integral $\int_a^b f(t) du(t)$ exists and

$$\begin{aligned}
 (2.15) \quad |T(f, u; a, b; x)| &\leq L \left[\int_a^x \left(\bigvee_t^x(u) \right) dt + \int_x^b \left(\bigvee_x^t(u) \right) dt \right] \\
 &= L \left[\int_a^x (t-a) d \left(\bigvee_a^t(u) \right) + \int_x^b (b-t) d \left(\bigvee_x^t(u) \right) \right] \\
 &\leq L \left[(x-a) \bigvee_a^x(u) + (b-x) \bigvee_x^b(u) \right] \\
 &\leq L \times \begin{cases} \left[\frac{b-a}{2} + \left| x - \frac{a+b}{2} \right| \right] \bigvee_a^b(u), \\ \frac{1}{2} \left[\bigvee_a^b(u) + \left| \bigvee_a^x(u) - \bigvee_x^b(u) \right| \right] (b-a) \end{cases}
 \end{aligned}$$

for $x \in [a, b]$.

Proof. It is well known that, if $p : [a, b] \rightarrow \mathbb{C}$ is Riemann integrable and $v : [a, b] \rightarrow \mathbb{C}$ Lipschitzian with the constant $L > 0$, then

$$(2.16) \quad \left| \int_a^b p(t) dv(t) \right| \leq L \int_a^b |p(t)| dt$$

By making use of the equality (2.3) we have

$$\begin{aligned}
 |T(f, u; a, b; x)| &= \left| \int_a^x [u(t) - u(x)] df(t) + \int_x^b [u(t) - u(x)] df(t) \right| \\
 &\leq \left| \int_a^x [u(t) - u(x)] df(t) \right| + \left| \int_x^b [u(t) - u(x)] df(t) \right| \\
 &\leq L \left[\int_a^x |u(t) - u(x)| dt + \int_x^b |u(t) - u(x)| dt \right] =: D(f, u, x)
 \end{aligned}$$

for $x \in [a, b]$.

Since u is of bounded variation, hence

$$D(f, u, x) \leq L \left[\int_a^x \left(\bigvee_t^x(u) \right) dt + \int_x^b \left(\bigvee_x^t(u) \right) dt \right]$$

for $x \in [a, b]$, which proves the first inequality in (2.15).

Using the integration by parts, we have

$$\begin{aligned}
& \int_a^x \left(\underset{t}{\overset{x}{V}}(u) \right) dt + \int_x^b \left(\underset{x}{\overset{t}{V}}(u) \right) dt \\
&= \left(\underset{t}{\overset{x}{V}}(u) \right) t \Big|_a^x - \int_a^x t d \left(\underset{t}{\overset{x}{V}}(u) \right) + \left(\underset{x}{\overset{t}{V}}(u) \right) t \Big|_x^b - \int_x^b t d \left(\underset{x}{\overset{t}{V}}(u) \right) \\
&= - \left(\underset{a}{\overset{x}{V}}(u) \right) a - \int_a^x t d \left(\underset{a}{\overset{x}{V}}(u) - \underset{a}{\overset{t}{V}}(u) \right) + \left(\underset{x}{\overset{b}{V}}(u) \right) b - \int_x^b t d \left(\underset{x}{\overset{t}{V}}(u) \right) \\
&= - \left(\underset{a}{\overset{x}{V}}(u) \right) a + \int_a^x t d \left(\underset{a}{\overset{t}{V}}(u) \right) + \int_x^b (b-t) d \left(\underset{x}{\overset{t}{V}}(u) \right) \\
&= \int_a^x (t-a) d \left(\underset{a}{\overset{t}{V}}(u) \right) + \int_x^b (b-t) d \left(\underset{x}{\overset{t}{V}}(u) \right),
\end{aligned}$$

which proves the equality in (2.15).

The rest is obvious. \square

Corollary 3. *With the assumptions of Theorem 2, we have*

$$(2.17) \quad \left| T \left(f, u; a, b; \frac{a+b}{2} \right) \right| \leq \frac{1}{2} L(b-a) \underset{a}{\overset{b}{V}}(u).$$

If $m \in [a, b]$ such that $\underset{a}{\overset{m}{V}}(u) = \underset{m}{\overset{b}{V}}(u)$, then

$$(2.18) \quad |T(f, u; a, b; m)| \leq \frac{1}{2} L(b-a) \underset{a}{\overset{b}{V}}(u).$$

3. APPLICATIONS FOR SELFADJOINT OPERATORS

We denote by $\mathcal{B}(H)$ the Banach algebra of all bounded linear operators on a complex Hilbert space $(H; \langle \cdot, \cdot \rangle)$. Let $A \in \mathcal{B}(H)$ be selfadjoint and let φ_λ be defined for all $\lambda \in \mathbb{R}$ as follows

$$\varphi_\lambda(s) := \begin{cases} 1, & \text{for } -\infty < s \leq \lambda, \\ 0, & \text{for } \lambda < s < +\infty. \end{cases}$$

Then for every $\lambda \in \mathbb{R}$ the operator

$$(3.1) \quad E_\lambda := \varphi_\lambda(A)$$

is a projection which reduces A .

The properties of these projections are collected in the following fundamental result concerning the spectral representation of bounded selfadjoint operators in Hilbert spaces, see for instance [13, p. 256]:

Theorem 3 (Spectral Representation Theorem). *Let A be a bounded selfadjoint operator on the Hilbert space H and let $a = \min \{ \lambda | \lambda \in Sp(A) \} =: \min Sp(A)$ and $b = \max \{ \lambda | \lambda \in Sp(A) \} =: \max Sp(A)$. Then there exists a family of projections $\{E_\lambda\}_{\lambda \in \mathbb{R}}$, called the spectral family of A , with the following properties*

- a) $E_\lambda \leq E_{\lambda'}$ for $\lambda \leq \lambda'$;
- b) $E_{a-0} = 0, E_b = 1_H$ and $E_{\lambda+0} = E_\lambda$ for all $\lambda \in \mathbb{R}$;
- c) We have the representation

$$A = \int_{a-0}^b \lambda dE_\lambda.$$

More generally, for every continuous complex-valued function φ defined on \mathbb{R} there exists a unique operator $\varphi(A) \in \mathcal{B}(H)$ such that for every $\varepsilon > 0$ there exists a $\delta > 0$ satisfying the inequality

$$\left\| \varphi(A) - \sum_{k=1}^n \varphi(\lambda'_k) [E_{\lambda_k} - E_{\lambda_{k-1}}] \right\| \leq \varepsilon$$

whenever

$$\begin{cases} \lambda_0 < a = \lambda_1 < \dots < \lambda_{n-1} < \lambda_n = b, \\ \lambda_k - \lambda_{k-1} \leq \delta \text{ for } 1 \leq k \leq n, \\ \lambda'_k \in [\lambda_{k-1}, \lambda_k] \text{ for } 1 \leq k \leq n \end{cases}$$

this means that

$$(3.2) \quad \varphi(A) = \int_{a-0}^b \varphi(\lambda) dE_\lambda,$$

where the integral is of Riemann-Stieltjes type.

Corollary 4. *With the assumptions of Theorem 3 for A, E_λ and φ we have the representations*

$$\varphi(A)x = \int_{a-0}^b \varphi(\lambda) dE_\lambda x \quad \text{for all } x \in H$$

and

$$(3.3) \quad \langle \varphi(A)x, y \rangle = \int_{a-0}^b \varphi(\lambda) d \langle E_\lambda x, y \rangle \quad \text{for all } x, y \in H.$$

In particular,

$$\langle \varphi(A)x, x \rangle = \int_{a-0}^b \varphi(\lambda) d \langle E_\lambda x, x \rangle \quad \text{for all } x \in H.$$

Moreover, we have the equality

$$\|\varphi(A)x\|^2 = \int_{a-0}^b |\varphi(\lambda)|^2 d \|E_\lambda x\|^2 \quad \text{for all } x \in H.$$

We need the following result that provides an upper bound for the total variation of the function $\mathbb{R} \ni \lambda \mapsto \langle E_\lambda x, y \rangle \in \mathbb{C}$ on an interval $[\alpha, \beta]$, see [9].

Lemma 2. *Let $\{E_\lambda\}_{\lambda \in \mathbb{R}}$ be the spectral family of the bounded selfadjoint operator A . Then for any $x, y \in H$ and $\alpha < \beta$ we have the inequality*

$$(3.4) \quad \left[\bigvee_{\alpha}^{\beta} (\langle E_{(\cdot)} x, y \rangle) \right]^2 \leq \langle (E_\beta - E_\alpha)x, x \rangle \langle (E_\beta - E_\alpha)y, y \rangle,$$

where $\bigvee_{\alpha}^{\beta} (\langle E_{(\cdot)} x, y \rangle)$ denotes the total variation of the function $\langle E_{(\cdot)} x, y \rangle$ on $[\alpha, \beta]$.

Remark 2. For $\alpha = a - \varepsilon$ with $\varepsilon > 0$ and $\beta = b$ we get from (3.4) the inequality

$$(3.5) \quad \bigvee_{a-\varepsilon}^b (\langle E_{(\cdot)} x, y \rangle) \leq \langle (1_H - E_{a-\varepsilon}) x, x \rangle^{1/2} \langle (1_H - E_{a-\varepsilon}) y, y \rangle^{1/2}$$

for any $x, y \in H$.

This implies, for any $x, y \in H$, that

$$(3.6) \quad \bigvee_{a-0}^b (\langle E_{(\cdot)} x, y \rangle) \leq \|x\| \|y\|,$$

where $\bigvee_{a-0}^b (\langle E_{(\cdot)} x, y \rangle)$ denotes the limit $\lim_{\varepsilon \rightarrow 0+} \left[\bigvee_{a-\varepsilon}^b (\langle E_{(\cdot)} x, y \rangle) \right]$.

We can state the following result for functions of selfadjoint operators:

Theorem 4. Let A be a bounded selfadjoint operator on the Hilbert space H and let $a = \min \{ \lambda \mid \lambda \in Sp(A) \} =: \min Sp(A)$ and $b = \max \{ \lambda \mid \lambda \in Sp(A) \} =: \max Sp(A)$. Also, assume that $\{E_\lambda\}_{\lambda \in \mathbb{R}}$ is the spectral family of the bounded selfadjoint operator A and assume that $\varphi \in \mathcal{BV}_{\mathbb{C}}[a, b]$ and $\varphi \in \mathcal{C}_{\mathbb{C}}[a, b]$ where $[a, b] \subset \overset{\circ}{I}$ (the interior of I). Then for all $s \in [a, b]$

$$(3.7) \quad \begin{aligned} & | \langle (1_H - E_s) x, y \rangle \varphi(b) + \langle E_s x, y \rangle \varphi(a) - \langle \varphi(A) x, y \rangle | \\ & \leq \frac{1}{2} \left[\bigvee_a^b (\varphi) + \left| \bigvee_a^s (\varphi) - \bigvee_s^b (\varphi) \right| \right] \bigvee_{a-0}^b (\langle E_{(\cdot)} x, y \rangle) \\ & \leq \frac{1}{2} \left[\bigvee_a^b (\varphi) + \left| \bigvee_a^s (\varphi) - \bigvee_s^b (\varphi) \right| \right] \|x\| \|y\| \end{aligned}$$

for any $x, y \in H$.

Proof. Using the inequality (2.6) we have

$$\begin{aligned} & \left| [\langle E_b x, y \rangle - \langle E_s x, y \rangle] \varphi(b) + [\langle E_s x, y \rangle - \langle E_{a-\varepsilon} x, y \rangle] \varphi(a-\varepsilon) \right. \\ & \quad \left. - \int_{a-\varepsilon}^b \varphi(t) d \langle E_t x, y \rangle \right| \\ & \leq \frac{1}{2} \left[\bigvee_{a-\varepsilon}^b (\varphi) + \left| \bigvee_{a-\varepsilon}^s (\varphi) - \bigvee_s^b (\varphi) \right| \right] \bigvee_{a-\varepsilon}^b (\langle E_{(\cdot)} x, y \rangle), \end{aligned}$$

for small $\varepsilon > 0$ and for any $x, y \in H$.

Taking the limit over $\varepsilon \rightarrow 0+$ and using the continuity of φ and the Spectral Representation Theorem, we deduce the desired result (3.7). \square

Corollary 5. *With the assumptions of Theorem 4 and if $q \in [a, b]$ is such that*

$$\bigvee_a^q(\varphi) = \bigvee_q^b(\varphi), \text{ then}$$

$$(3.8) \quad \begin{aligned} & | \langle (1_H - E_q)x, y \rangle \varphi(b) + \langle E_q x, y \rangle \varphi(a) - \langle \varphi(A)x, y \rangle | \\ & \leq \frac{1}{2} \bigvee_a^b(\varphi) \bigvee_{a-0}^b(\langle E_{(\cdot)}x, y \rangle) \leq \frac{1}{2} \|x\| \|y\| \bigvee_a^b(\varphi) \end{aligned}$$

for any $x, y \in H$.

We also have:

Theorem 5. *Let A be a bounded selfadjoint operator on the Hilbert space H and let $a = \min \{ \lambda | \lambda \in Sp(A) \} =: \min Sp(A)$ and $b = \max \{ \lambda | \lambda \in Sp(A) \} =: \max Sp(A)$. Also, assume that $\{E_\lambda\}_{\lambda \in \mathbb{R}}$ is the spectral family of the bounded selfadjoint operator A and assume that φ is Lipschitzian with the constant $L > 0$ on $[a, b] \subset \dot{I}$. Then for all $s \in [a, b]$*

$$(3.9) \quad \begin{aligned} & | \langle (1_H - E_s)x, y \rangle \varphi(b) + \langle E_s x, y \rangle \varphi(a) - \langle \varphi(A)x, y \rangle | \\ & \leq L \left[\frac{1}{2} (b-a) + \left| s - \frac{a+b}{2} \right| \right] \bigvee_{a-0}^b(\langle E_{(\cdot)}x, y \rangle) \\ & \leq L \left[\frac{1}{2} (b-a) + \left| s - \frac{a+b}{2} \right| \right] \|x\| \|y\| \end{aligned}$$

for any $x, y \in H$.

In particular, we have

$$(3.10) \quad \begin{aligned} & \left| \left\langle \left(1_H - E_{\frac{a+b}{2}} \right) x, y \right\rangle \varphi(b) + \left\langle E_{\frac{a+b}{2}} x, y \right\rangle \varphi(a) - \langle \varphi(A)x, y \rangle \right| \\ & \leq \frac{1}{2} L (b-a) \bigvee_{a-0}^b(\langle E_{(\cdot)}x, y \rangle) \leq \frac{1}{2} L (b-a) \|x\| \|y\| \end{aligned}$$

for any $x, y \in H$.

Remark 3. *The above results can provide particular inequalities of interest. For instance, if we take $\varphi : [a, b] \subset (0, \infty) \rightarrow \mathbb{R}$, $\varphi(t) = \ln t$ and A is a bounded selfadjoint operator on the Hilbert space H with $a = \min \{ \lambda | \lambda \in Sp(A) \}$ and $b = \max \{ \lambda | \lambda \in Sp(A) \}$, then by (3.7) we get*

$$(3.11) \quad \begin{aligned} & | \langle (1_H - E_s)x, y \rangle \ln b + \langle E_s x, y \rangle \ln a - \langle \ln Ax, y \rangle | \\ & \leq \frac{1}{2} \left[\ln \left(\frac{b}{a} \right) + \left| \ln \left(\frac{s^2}{ab} \right) \right| \right] \bigvee_{a-0}^b(\langle E_{(\cdot)}x, y \rangle) \\ & \leq \frac{1}{2} \left[\ln \left(\frac{b}{a} \right) + \left| \ln \left(\frac{s^2}{ab} \right) \right| \right] \|x\| \|y\| \end{aligned}$$

for any $x, y \in H$.

In particular, if we take $s = G(a, b) := \sqrt{ab}$, the geometric mean of a and b , then we get from (3.11) that

$$(3.12) \quad \left| \langle (1_H - E_{G(a,b)})x, y \rangle \ln b + \langle E_{G(a,b)}x, y \rangle \ln a - \langle \ln Ax, y \rangle \right| \\ \leq \frac{1}{2} \ln \left(\frac{b}{a} \right) \bigvee_{a-0}^b (\langle E_{(\cdot)}x, y \rangle) \leq \frac{1}{2} \ln \left(\frac{b}{a} \right) \|x\| \|y\|$$

for any $x, y \in H$.

The function $\varphi : [a, b] \subset (0, \infty) \rightarrow \mathbb{R}$, $\varphi(t) = \ln t$ is Lipschitzian on $[a, b]$ with constant $L = \frac{1}{a} > 0$. Then by (3.9) we get

$$(3.13) \quad \left| \langle (1_H - E_s)x, y \rangle \ln b + \langle E_sx, y \rangle \ln a - \langle \ln Ax, y \rangle \right| \\ \leq \frac{1}{a} \left[\frac{1}{2} (b-a) + \left| s - \frac{a+b}{2} \right| \right] \bigvee_{a-0}^b (\langle E_{(\cdot)}x, y \rangle) \\ \leq \frac{1}{a} \left[\frac{1}{2} (b-a) + \left| s - \frac{a+b}{2} \right| \right] \|x\| \|y\|$$

for any $x, y \in H$.

In particular, if we take $s = \frac{a+b}{2}$, then we get from (3.13) that

$$(3.14) \quad \left| \langle (1_H - E_{\frac{a+b}{2}})x, y \rangle \ln b + \langle E_{\frac{a+b}{2}}x, y \rangle \ln a - \langle \ln Ax, y \rangle \right| \\ \leq \frac{1}{2} \left(\frac{b}{a} - 1 \right) \bigvee_{a-0}^b (\langle E_{(\cdot)}x, y \rangle) \leq \frac{1}{2} \left(\frac{b}{a} - 1 \right) \|x\| \|y\|$$

for any $x, y \in H$.

4. APPLICATIONS FOR UNITARY OPERATORS

A unitary operator is a bounded linear operator $U : H \rightarrow H$ on a Hilbert space H satisfying

$$U^*U = UU^* = 1_H$$

where U^* is the adjoint of U , and $1_H : H \rightarrow H$ is the identity operator. This property is equivalent to the following:

- (i) U preserves the inner product $\langle \cdot, \cdot \rangle$ of the Hilbert space, i.e., for all vectors x and y in the Hilbert space, $\langle Ux, Uy \rangle = \langle x, y \rangle$ and
- (ii) U is surjective.

The following result is well known [13, p. 275 - p. 276]:

Theorem 6 (Spectral Representation Theorem). *Let U be a unitary operator on the Hilbert space H . Then there exists a family of projections $\{P_\lambda\}_{\lambda \in [0, 2\pi]}$, called the spectral family of U , with the following properties*

- a) $P_\lambda \leq P_{\lambda'}$ for $\lambda \leq \lambda'$;
- b) $P_0 = 0, P_{2\pi} = 1_H$ and $P_{\lambda+0} = P_\lambda$ for all $\lambda \in [0, 2\pi)$;
- c) We have the representation

$$U = \int_0^{2\pi} \exp(i\lambda) dP_\lambda.$$

More generally, for every continuous complex-valued function φ defined on the unit circle $\mathcal{C}(0, 1)$ there exists a unique operator $\varphi(U) \in \mathcal{B}(H)$ such that for every $\varepsilon > 0$ there exists a $\delta > 0$ satisfying the inequality

$$\left\| \varphi(U) - \sum_{k=1}^n \varphi(\exp(i\lambda'_k)) [P_{\lambda_k} - P_{\lambda_{k-1}}] \right\| \leq \varepsilon$$

whenever

$$\begin{cases} 0 = \lambda_1 < \dots < \lambda_{n-1} < \lambda_n = 2\pi, \\ \lambda_k - \lambda_{k-1} \leq \delta \text{ for } 1 \leq k \leq n, \\ \lambda'_k \in [\lambda_{k-1}, \lambda_k] \text{ for } 1 \leq k \leq n \end{cases}$$

this means that

$$(4.1) \quad \varphi(U) = \int_0^{2\pi} \varphi(\exp(i\lambda)) dP_\lambda,$$

where the integral is of Riemann-Stieltjes type.

Corollary 6. *With the assumptions of Theorem 6 for U , P_λ and φ we have the representations*

$$\varphi(U)x = \int_0^{2\pi} \varphi(\exp(i\lambda)) dP_\lambda x \text{ for all } x \in H$$

and

$$(4.2) \quad \langle \varphi(U)x, y \rangle = \int_0^{2\pi} \varphi(\exp(i\lambda)) d\langle P_\lambda x, y \rangle \text{ for all } x, y \in H.$$

In particular,

$$\langle \varphi(U)x, x \rangle = \int_0^{2\pi} \varphi(\exp(i\lambda)) d\langle P_\lambda x, x \rangle \text{ for all } x \in H.$$

Moreover, we have the equality

$$\|\varphi(U)x\|^2 = \int_0^{2\pi} |\varphi(\exp(i\lambda))|^2 d\|P_\lambda x\|^2 \text{ for all } x \in H.$$

On making use of an argument similar to the one in [9, Theorem 6], we have:

Lemma 3. *Let $\{P_\lambda\}_{\lambda \in [0, 2\pi]}$ be the spectral family of the unitary operator U on the Hilbert space H . Then for any $x, y \in H$ and $0 \leq \alpha < \beta \leq 2\pi$ we have the inequality*

$$(4.3) \quad \bigvee_{\alpha}^{\beta} (\langle P_{(\cdot)} x, y \rangle) \leq \langle (P_\beta - P_\alpha)x, x \rangle^{1/2} \langle (P_\beta - P_\alpha)y, y \rangle^{1/2},$$

where $\bigvee_{\alpha}^{\beta} (\langle P_{(\cdot)} x, y \rangle)$ denotes the total variation of the function $\langle P_{(\cdot)} x, y \rangle$ on $[\alpha, \beta]$.

In particular,

$$(4.4) \quad \bigvee_0^{2\pi} (\langle P_{(\cdot)} x, y \rangle) \leq \|x\| \|y\|$$

for any $x, y \in H$.

We have:

Theorem 7. *Let U be a unitary operator on the Hilbert space H and $\{P_\lambda\}_{\lambda \in [0, 2\pi]}$ the spectral family of projections of U . Also, assume that $\varphi : \mathcal{C}(0, 1) \rightarrow \mathbb{C}$ are continuous on $\mathcal{C}(0, 1)$. If $\varphi \circ \exp(i \cdot) \in \mathcal{BV}_{\mathbb{C}}[0, 2\pi]$, then for all $s \in [0, 2\pi]$*

$$(4.5) \quad |\varphi(1) \langle x, y \rangle - \langle \varphi(U) x, y \rangle| \\ \leq \frac{1}{2} \left[\bigvee_0^{2\pi} (\varphi \circ \exp(i \cdot)) + \inf_{s \in [0, 2\pi]} \left| \bigvee_0^s (\varphi \circ \exp(i \cdot)) - \bigvee_s^{2\pi} (\varphi \circ \exp(i \cdot)) \right| \right] \bigvee_0^{2\pi} (\langle P_{(\cdot)} x, y \rangle) \\ \leq \frac{1}{2} \left[\bigvee_0^{2\pi} (\varphi \circ \exp(i \cdot)) + \inf_{s \in [0, 2\pi]} \left| \bigvee_0^s (\varphi \circ \exp(i \cdot)) - \bigvee_s^{2\pi} (\varphi \circ \exp(i \cdot)) \right| \right] \|x\| \|y\|$$

for any $x, y \in H$.

If there exists an $s \in [0, 2\pi]$ such that

$$\bigvee_0^s (\varphi \circ \exp(i \cdot)) = \bigvee_s^{2\pi} (\varphi \circ \exp(i \cdot)),$$

then

$$(4.6) \quad |\varphi(1) \langle x, y \rangle - \langle \varphi(U) x, y \rangle| \\ \leq \frac{1}{2} \bigvee_0^{2\pi} (\varphi \circ \exp(i \cdot)) \bigvee_0^{2\pi} (\langle P_{(\cdot)} x, y \rangle) \leq \frac{1}{2} \bigvee_0^{2\pi} (\varphi \circ \exp(i \cdot)) \|x\| \|y\|$$

for any $x, y \in H$.

If $\varphi \circ \exp(i \cdot)$ is Lipschitzian with the constant $L > 0$ on $[0, 2\pi]$, then

$$(4.7) \quad |\varphi(1) \langle x, y \rangle - \langle \varphi(U) x, y \rangle| \leq \pi L \bigvee_0^{2\pi} (\langle P_{(\cdot)} x, y \rangle) \leq \pi L (b - a) \|x\| \|y\|$$

for any $x, y \in H$.

Proof. From the inequality (3.7) we get

$$(4.8) \quad |(\langle (1_H - P_s) x, y \rangle \varphi(e^{2\pi i}) + \langle P_s x, y \rangle \varphi(e^0) - \langle \varphi(U) x, y \rangle)| \\ \leq \frac{1}{2} \left[\bigvee_0^{2\pi} (\varphi \circ \exp(i \cdot)) + \left| \bigvee_0^s (\varphi \circ \exp(i \cdot)) - \bigvee_s^{2\pi} (\varphi \circ \exp(i \cdot)) \right| \right] \bigvee_0^{2\pi} (\langle P_{(\cdot)} x, y \rangle) \\ \leq \frac{1}{2} \left[\bigvee_0^{2\pi} (\varphi \circ \exp(i \cdot)) + \left| \bigvee_0^s (\varphi \circ \exp(i \cdot)) - \bigvee_s^{2\pi} (\varphi \circ \exp(i \cdot)) \right| \right] \|x\| \|y\|$$

for any $x, y \in H$ and since $\varphi(e^{2\pi i}) = \varphi(e^0) = \varphi(1)$, hence by (4.8) we get

$$|\langle x, y \rangle \varphi(1) - \langle \varphi(U) x, y \rangle| \\ \leq \frac{1}{2} \left[\bigvee_0^{2\pi} (\varphi \circ \exp(i \cdot)) + \left| \bigvee_0^s (\varphi \circ \exp(i \cdot)) - \bigvee_s^{2\pi} (\varphi \circ \exp(i \cdot)) \right| \right] \bigvee_0^{2\pi} (\langle P_{(\cdot)} x, y \rangle) \\ \leq \frac{1}{2} \left[\bigvee_0^{2\pi} (\varphi \circ \exp(i \cdot)) + \left| \bigvee_0^s (\varphi \circ \exp(i \cdot)) - \bigvee_s^{2\pi} (\varphi \circ \exp(i \cdot)) \right| \right] \|x\| \|y\|$$

and by taking the infimum over $s \in [0, 2\pi]$ we get (4.5).

The inequality (4.7) follows in a similar way from (3.9). \square

Remark 4. If φ is differentiable, then

$$(\varphi \circ \exp(it))' = \varphi'(\exp(it))(\exp(it))' = \varphi'(\exp(it))(\exp(it))i$$

and if the derivative is continuous, then

$$\begin{aligned} \bigvee_0^{2\pi} (\varphi \circ \exp(i\cdot)) &= \int_0^{2\pi} |(\varphi \circ \exp(it))'| dt = \int_0^{2\pi} |\varphi'(\exp(it))| |(\exp(it))i| dt \\ &= \int_0^{2\pi} |\varphi'(\exp(it))| dt. \end{aligned}$$

Similarly,

$$\bigvee_0^s (\varphi \circ \exp(i\cdot)) = \int_0^s |\varphi'(\exp(it))| dt \text{ and } \bigvee_s^{2\pi} (\varphi \circ \exp(i\cdot)) = \int_s^{2\pi} |\varphi'(\exp(it))| dt.$$

Since the function $\int_0^{\cdot} |\varphi'(\exp(it))| dt$ is continuous on $[0, 2\pi]$, hence there is an $s \in [0, 2\pi]$ such that

$$\bigvee_0^s (\varphi \circ \exp(i\cdot)) = \bigvee_s^{2\pi} (\varphi \circ \exp(i\cdot)),$$

and by (4.6) we get

$$(4.9) \quad |\varphi(1)\langle x, y \rangle - \langle \varphi(U)x, y \rangle| \leq \frac{1}{2} \int_0^{2\pi} |\varphi'(\exp(it))| dt \bigvee_0^{2\pi} (\langle P_{(\cdot)}x, y \rangle) \leq \frac{1}{2} \|x\| \|y\| \int_0^{2\pi} |\varphi'(\exp(it))| dt,$$

where U be a unitary operator on the Hilbert space H , $\{P_\lambda\}_{\lambda \in [0, 2\pi]}$ is the spectral family of projections of U and $x, y \in H$.

We also have

$$\sup_{t \in [0, 2\pi]} |(\varphi \circ \exp(it))'| = \sup_{t \in [0, 2\pi]} |\varphi'(\exp(it))(\exp(it))i| = \sup_{z \in \mathcal{C}(0,1)} |\varphi'(z)|.$$

So if we assume that $L := \sup_{z \in \mathcal{C}(0,1)} |\varphi'(z)| < \infty$, then $\varphi \circ \exp(it)$ is Lipschitzian with the constant L . Then by (4.7) we get

$$(4.10) \quad |\varphi(1)\langle x, y \rangle - \langle \varphi(U)x, y \rangle| \leq \pi \sup_{z \in \mathcal{C}(0,1)} |\varphi'(z)| \bigvee_0^{2\pi} (\langle P_{(\cdot)}x, y \rangle) \leq \pi \sup_{z \in \mathcal{C}(0,1)} |\varphi'(z)| \|x\| \|y\|,$$

where U be a unitary operator on the Hilbert space H , $\{P_\lambda\}_{\lambda \in [0, 2\pi]}$ is the spectral family of projections of U and $x, y \in H$.

If we take, for instance, $\varphi(z) = z^n$ with $n \in \mathbb{N}$, then by both (4.9) and (4.10) we get

$$|\langle x, y \rangle - \langle U^n x, y \rangle| \leq n\pi \int_0^{2\pi} dt \bigvee_0^{2\pi} (\langle P_{(\cdot)}x, y \rangle) \leq n\pi \|x\| \|y\|,$$

where U be a unitary operator on the Hilbert space H , $\{P_\lambda\}_{\lambda \in [0, 2\pi]}$ is the spectral family of projections of U and $x, y \in H$.

We can give a more interesting example as follows:

Example 1. For $a \neq \pm 1, 0$ consider the function $\varphi : \mathcal{C}(0, 1) \rightarrow \mathbb{C}$, $\varphi_a(z) = \frac{1}{1-az}$. Observe that

$$(4.11) \quad |\varphi_a(z) - \varphi_a(w)| = \frac{|a||z-w|}{|1-az||1-aw|}$$

for any $z, w \in \mathcal{C}(0, 1)$.

If $z = e^{it}$ with $t \in [0, 2\pi]$, then we have

$$\begin{aligned} |1-az|^2 &= 1 - 2a \operatorname{Re}(\bar{z}) + a^2|z|^2 = 1 - 2a \cos t + a^2 \\ &\geq 1 - 2|a| + a^2 = (1-|a|)^2 \end{aligned}$$

therefore

$$(4.12) \quad \frac{1}{|1-az|} \leq \frac{1}{|1-|a||} \quad \text{and} \quad \frac{1}{|1-aw|} \leq \frac{1}{|1-|a||}$$

for any $z, w \in \mathcal{C}(0, 1)$.

Utilising (4.11) and (4.12) we deduce

$$(4.13) \quad |\varphi_a(z) - \varphi_a(w)| \leq \frac{|a|}{(1-|a|)^2} |z-w|$$

for any $z, w \in \mathcal{C}(0, 1)$, showing that the function φ_a is Lipschitzian with the constant $L_a = \frac{|a|}{(1-|a|)^2}$ on the circle $\mathcal{C}(0, 1)$.

If we take $z = e^{it}$ and $w = e^{is}$ with $t, s \in [0, 2\pi]$ in (4.13) we get

$$(4.14) \quad |\varphi_a(e^{it}) - \varphi_a(e^{is})| \leq \frac{|a|}{(1-|a|)^2} |e^{it} - e^{is}|$$

Since

$$\begin{aligned} |e^{is} - e^{it}|^2 &= |e^{is}|^2 - 2 \operatorname{Re}(e^{i(s-t)}) + |e^{it}|^2 \\ &= 2 - 2 \cos(s-t) = 4 \sin^2\left(\frac{s-t}{2}\right) \end{aligned}$$

for any $t, s \in \mathbb{R}$, hence

$$(4.15) \quad |e^{is} - e^{it}| = 2 \left| \sin\left(\frac{s-t}{2}\right) \right| \leq |s-t|$$

for $t, s \in [0, 2\pi]$.

Therefore by (4.14) and (4.15) we get

$$(4.16) \quad |\varphi_a(e^{it}) - \varphi_a(e^{is})| \leq \frac{|a|}{(1-|a|)^2} |s-t|$$

for $t, s \in [0, 2\pi]$, which shows that $\varphi_a(e^i)$ is Lipschitzian with the constant $L = \frac{|a|}{(1-|a|)^2} > 0$ on $[0, 2\pi]$.

If we use the inequality (4.7) for φ_a we get

$$(4.17) \quad \begin{aligned} &\left| (1-a)^{-1} \langle x, y \rangle - \langle (1_H - aU)^{-1} x, y \rangle \right| \\ &\leq \pi \frac{|a|}{(1-|a|)^2} \bigvee_0^{2\pi} (\langle P_{(\cdot)} x, y \rangle) \leq \pi \frac{|a|}{(1-|a|)^2} \|x\| \|y\|, \end{aligned}$$

where U be a unitary operator on the Hilbert space H , $\{P_\lambda\}_{\lambda \in [0, 2\pi]}$ is the spectral family of projections of U and $x, y \in H$.

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