

**POWER INEQUALITIES FOR THE NUMERICAL RADIUS IN
TERMS OF GENERALIZED ALUTHGE TRANSFORM OF
OPERATORS IN HILBERT SPACES**

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ABSTRACT. Let H be a complex Hilbert space. In this paper we show among others that, if $S, V \in \mathcal{B}(H)$, $r \geq 1$, $p, q > 1$ with $\frac{1}{p} + \frac{1}{q} = 1$ and $pr, qr \geq 2$, then

$$\omega^{2r}(SV) \leq \frac{1}{2} \left(\left\| \frac{1}{p} |S^*|^{2pr} + \frac{1}{q} |V|^{2qr} \right\| + \omega^r(|V|^2 |S^*|^2) \right).$$

Moreover, if $T = U|T|$ is the *polar decomposition* of the bounded linear operator T with U a partial isometry, then

$$\omega^{2r}(\Delta_t(T)) \leq \frac{1}{2} \left(\left\| \frac{1}{p} |T|^{2tpr} + \frac{1}{q} |T|^{2(1-t)qr} \right\| + \|T\|^{2r} \right)$$

where $\Delta_t(T) := |T|^t U |T|^{1-t}$, $t \in [0, 1]$ is the *generalized Aluthge transform*.

1. INTRODUCTION

The *numerical radius* $w(T)$ of an operator T on H is given by

$$(1.1) \quad \omega(T) = \sup \{ |\langle Tx, x \rangle|, \|x\| = 1 \}.$$

Obviously, by (1.1), for any $x \in H$ one has

$$(1.2) \quad |\langle Tx, x \rangle| \leq w(T) \|x\|^2.$$

It is well known that $w(\cdot)$ is a norm on the Banach algebra $B(H)$ of all bounded linear operators $T : H \rightarrow H$, i.e.,

- (i) $\omega(T) \geq 0$ for any $T \in B(H)$ and $\omega(T) = 0$ if and only if $T = 0$;
- (ii) $\omega(\lambda T) = |\lambda| \omega(T)$ for any $\lambda \in \mathbb{C}$ and $T \in B(H)$;
- (iii) $\omega(T + V) \leq \omega(T) + \omega(V)$ for any $T, V \in B(H)$.

This norm is equivalent with the operator norm. In fact, the following more precise result holds:

$$(1.3) \quad \omega(T) \leq \|T\| \leq 2\omega(T)$$

for any $T \in B(H)$.

F. Kittaneh, in 2003 [13], showed that for any operator $T \in B(H)$ we have the following refinement of the first inequality in (1.3):

$$(1.4) \quad \omega(T) \leq \frac{1}{2} \left(\|T\| + \|T^2\|^{1/2} \right).$$

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Utilizing the Cartesian decomposition for operators, F. Kittaneh in [14] improved the inequality (1.3) as follows:

$$(1.5) \quad \frac{1}{4} \|T^*T + TT^*\| \leq \omega^2(T) \leq \frac{1}{2} \|T^*T + TT^*\|$$

for any operator $T \in B(H)$.

For powers of the absolute value of operators, one can state the following results obtained by El-Haddad & Kittaneh in 2007, [11]:

If for an operator $T \in B(H)$ we denote $|T| := (T^*T)^{1/2}$, then

$$(1.6) \quad \omega^r(T) \leq \frac{1}{2} \left\| |T|^{2\alpha r} + |T^*|^{2(1-\alpha)r} \right\|$$

and

$$(1.7) \quad \omega^{2r}(T) \leq \left\| \alpha |T|^{2r} + (1-\alpha) |T^*|^{2r} \right\|,$$

where $\alpha \in (0, 1)$ and $r \geq 1$.

If we take $\alpha = \frac{1}{2}$ and $r = 1$ we get from (1.6) that

$$(1.8) \quad \omega(T) \leq \frac{1}{2} \left(\| |T| + |T^*| \| \right)$$

and from (1.7) that

$$(1.9) \quad \omega^2(T) \leq \frac{1}{2} \left(\| |T|^2 + |T^*|^2 \| \right).$$

For more related results, see the recent books on inequalities for numerical radii [10] and [5].

Let $T = U|T|$ be the *polar decomposition* of the bounded linear operator T with U a partial isometry. The *Aluthge transform* \tilde{T} of T is defined by $\tilde{T} := |T|^{1/2} U |T|^{1/2}$, see [1].

The following properties of \tilde{T} are as follows:

- (i) $\| \tilde{T} \| \leq \| T \|$,
- (ii) $\omega(\tilde{T}) \leq \omega(T)$,
- (iii) $r(\tilde{T}) = \omega(T)$,
- (iv) $\omega(\tilde{T}) \leq \| T^2 \|^{1/2} (\leq \| T \|)$, [15].

Utilizing this transform T. Yamazaki, [15] obtained in 2007 the following refinement of Kittaneh's inequality (1.4):

$$(1.10) \quad \omega(T) \leq \frac{1}{2} \left(\| T \| + \omega(\tilde{T}) \right) \leq \frac{1}{2} \left(\| T \| + \| T^2 \|^{1/2} \right)$$

for any operator $T \in B(H)$.

We remark that if $\tilde{T} = 0$, then obviously $\omega(T) = \frac{1}{2} \| T \|$.

For $t \in (0, 1)$

$$\Delta_t(T) := |T|^t U |T|^{1-t}$$

is the *generalized Aluthge transform* introduced in by Cho and Tanahashi in [9].

Abu-Omar and Kittaneh [2] improved on inequality (1.10) using generalized Aluthge transform to prove that

$$(1.11) \quad \omega(T) \leq \frac{1}{2} \left(\| T \| + \min_{t \in [0,1]} \omega(\Delta_t(T)) \right).$$

For $t = 1$ this also gives the following result for the *Dougal transform*

$$(1.12) \quad \omega(T) \leq \frac{1}{2} \left(\|T\| + \omega(\widehat{T}) \right).$$

Also, if we put $|T|^0 = I$, then $\Delta_0(T) := U|T| = T$.

In [4] Bunia et al. also proved that

$$(1.13) \quad \omega(T) \leq \min_{t \in [0,1]} \left\{ \frac{1}{2} \omega(\Delta_t(T)) + \frac{1}{4} \left(\|T\|^{2t} + \|T\|^{2(1-t)} \right) \right\},$$

which for $t = 1/2$ gives (1.10) as well.

Motivated by the above results, in this paper we show among others that, if $S, V \in \mathcal{B}(H)$, $r \geq 1$, $p, q > 1$ with $\frac{1}{p} + \frac{1}{q} = 1$ and $pr, qr \geq 2$, then

$$\omega^{2r}(SV) \leq \frac{1}{2} \left(\left\| \frac{1}{p} |S^*|^{2pr} + \frac{1}{q} |V|^{2qr} \right\| + \omega^r(|V|^2 |S^*|^2) \right).$$

Moreover, we have

$$\omega^{2r}(\Delta_t(T)) \leq \frac{1}{2} \left(\left\| \frac{1}{p} |T|^{2tpr} + \frac{1}{q} |T|^{2(1-t)qr} \right\| + \|T\|^{2r} \right)$$

for $t \in [0, 1]$

2. MAIN RESULTS

We recall the following vector inequality for positive operators $A \geq 0$, obtained by C. A. McCarthy in [8]

$$\langle Ax, x \rangle^p \leq \langle A^p x, x \rangle, \quad p \geq 1$$

for $x \in H$, $\|x\| = 1$ and Buzano's inequality [7],

$$(2.1) \quad \frac{1}{2} [\|x\| \|y\| + |\langle x, y \rangle|] \geq |\langle x, e \rangle \langle e, y \rangle|$$

that holds for any $x, y, e \in H$ with $\|e\| = 1$.

Also recall the following result for operator matrices obtained by F. Kittaneh in [12]:

Lemma 1. *Let $A, B, C \in \mathcal{B}(H)$ with $A, B \geq 0$. Then the operator matrix*

$$\begin{bmatrix} A & C^* \\ C & B \end{bmatrix} \in \mathcal{B}(H \oplus H)$$

is positive, if and only if

$$|\langle Cx, y \rangle|^2 \leq \langle Ax, x \rangle \langle By, y \rangle$$

for all $x, y \in H$.

We need the following results that are of interest in themselves:

Lemma 2. *Let $A, B, C \in \mathcal{B}(H)$ with $A, B \geq 0$. If the operator matrix*

$$\begin{bmatrix} A & C^* \\ C & B \end{bmatrix} \in \mathcal{B}(H \oplus H)$$

is positive then for $r > 0$, $p, q > 1$ with $\frac{1}{p} + \frac{1}{q} = 1$ and $pr, qr \geq 1$,

$$(2.2) \quad \omega^{2r}(C) \leq \left\| \frac{1}{p} A^{pr} + \frac{1}{q} B^{qr} \right\|.$$

If $r \geq 1$, then

$$(2.3) \quad \omega^{2r}(C) \leq \frac{1}{2} [\|A\|^r \|B\|^r + \omega^r(BA)].$$

If $r \geq 1$, $p, q > 1$ with $\frac{1}{p} + \frac{1}{q} = 1$ and $pr, qr \geq 2$, then also

$$(2.4) \quad \omega^{2r}(C) \leq \frac{1}{2} \left(\left\| \frac{1}{p} A^{pr} + \frac{1}{q} B^{qr} \right\| + \omega^r(BA) \right).$$

Proof. Let $x \in H$ with $\|x\| = 1$. Then by Lemma 1 we get

$$|\langle Cx, x \rangle|^2 \leq \langle Ax, x \rangle \langle Bx, x \rangle.$$

If we take the power $r > 0$, we get, by Young and McCarthy inequalities that

$$\begin{aligned} |\langle Cx, x \rangle|^{2r} &\leq \langle Ax, x \rangle^r \langle Bx, x \rangle^r \leq \frac{1}{p} \langle Ax, x \rangle^{pr} + \frac{1}{q} \langle Bx, x \rangle^{qr} \\ &\leq \frac{1}{p} \langle A^{pr} x, x \rangle + \frac{1}{q} \langle B^{qr} x, x \rangle = \left\langle \left(\frac{1}{p} A^{pr} + \frac{1}{q} B^{qr} \right) x, x \right\rangle \end{aligned}$$

for $x \in H$ with $\|x\| = 1$.

By taking the supremum over $\|x\| = 1$, then we get that

$$\begin{aligned} \omega^{2r}(C) &= \sup_{\|x\|=1} |\langle Cx, x \rangle|^{2r} \leq \sup_{\|x\|=1} \left\langle \left(\frac{1}{p} A^{pr} + \frac{1}{q} B^{qr} \right) x, x \right\rangle \\ &= \left\| \frac{1}{p} A^{pr} + \frac{1}{q} B^{qr} \right\|, \end{aligned}$$

which proves (2.2).

Further, using Buzano's inequality, we have

$$|\langle Cx, x \rangle|^2 \leq \langle Ax, x \rangle \langle Bx, x \rangle \leq \frac{\|Ax\| \|Bx\| + |\langle Ax, Bx \rangle|}{2}.$$

By taking the power $r \geq 1$ and using the convexity of the power function, we get

$$(2.5) \quad \begin{aligned} |\langle Cx, x \rangle|^{2r} &\leq \left(\frac{\|Ax\| \|Bx\| + |\langle Ax, Bx \rangle|}{2} \right)^r \\ &\leq \frac{\|Ax\|^r \|Bx\|^r + |\langle Ax, Bx \rangle|^r}{2} = \frac{\|Ax\|^r \|Bx\|^r + |\langle BAx, x \rangle|^r}{2} \end{aligned}$$

for $x \in H$ with $\|x\| = 1$.

By taking the supremum over $\|x\| = 1$, then we get that

$$\begin{aligned} \omega^{2r}(C) &= \sup_{\|x\|=1} |\langle Cx, x \rangle|^{2r} \leq \sup_{\|x\|=1} \left(\frac{\|Ax\|^r \|Bx\|^r + |\langle BAx, x \rangle|^r}{2} \right) \\ &\leq \frac{1}{2} \left(\sup_{\|x\|=1} \{ \|Ax\|^r \|Bx\|^r \} + \sup_{\|x\|=1} |\langle BAx, x \rangle|^r \right) \\ &\leq \frac{1}{2} \left(\sup_{\|x\|=1} \|Ax\|^r \sup_{\|x\|=1} \|Bx\|^r + \sup_{\|x\|=1} |\langle BAx, x \rangle|^r \right) \\ &= \frac{1}{2} (\|A\|^r \|B\|^r + \omega^r(BA)) \end{aligned}$$

and the inequality (2.3) is proved.

From (2.5) we also have

$$\begin{aligned}
|\langle Cx, x \rangle|^{2r} &\leq \frac{1}{2} (\|Ax\|^r \|Bx\|^r + |\langle Ax, Bx \rangle|^r) \\
&\leq \frac{1}{2} \left(\frac{1}{p} \|Ax\|^{pr} + \frac{1}{q} \|Bx\|^{qr} + |\langle Ax, Bx \rangle|^r \right) \\
&= \frac{1}{2} \left(\frac{1}{p} \|Ax\|^{2\frac{pr}{2}} + \frac{1}{q} \|Bx\|^{2\frac{qr}{2}} + |\langle Ax, Bx \rangle|^r \right) \\
&= \frac{1}{2} \left(\frac{1}{p} \langle A^2x, x \rangle^{\frac{pr}{2}} + \frac{1}{q} \langle B^2x, x \rangle^{\frac{qr}{2}} + |\langle Ax, Bx \rangle|^r \right) \\
&\leq \frac{1}{2} \left(\frac{1}{p} \langle A^{pr}x, x \rangle + \frac{1}{q} \langle B^{qr}x, x \rangle + |\langle Ax, Bx \rangle|^r \right) \\
&= \frac{1}{2} \left(\left\langle \left(\frac{1}{p} A^{pr} + \frac{1}{q} B^{qr} \right) x, x \right\rangle + |\langle Ax, Bx \rangle|^r \right)
\end{aligned}$$

and by taking the supremum over $\|x\| = 1$, we derive (2.4). \square

Remark 1. *With the assumptions in Lemma 2, if we take $p = q = 2$ and assume that $r \geq \frac{1}{2}$, then from (2.2) we get*

$$\omega^{2r}(C) \leq \frac{1}{2} \|A^{2r} + B^{2r}\|,$$

which for $r = \frac{1}{2}$ gives

$$\omega(C) \leq \frac{1}{2} \|A + B\|,$$

while for $r = 1$ gives the result from [3]

$$\omega^2(C) \leq \frac{1}{2} \|A^2 + B^2\|.$$

If we take in (2.2) $r = 1$, then we get

$$\omega^2(C) \leq \left\| \frac{1}{p} A^p + \frac{1}{q} B^q \right\|$$

for $p, q > 1$ with $\frac{1}{p} + \frac{1}{q} = 1$.

If we take $r = 1$ in (2.3) then we get the result from [3]

$$\omega^2(C) \leq \frac{1}{2} [\|A\| \|B\| + \omega(BA)],$$

while for $r = 2$,

$$\omega^4(C) \leq \frac{1}{2} [\|A\|^2 \|B\|^2 + \omega^2(BA)].$$

Also, if we take $p = q = 2$ and $r \geq 1$ in (2.4), then we get

$$\omega^{2r}(C) \leq \frac{1}{2} \left(\frac{1}{2} \|A^{2r} + B^{2r}\| + \omega^r(BA) \right).$$

In particular, for $r = 1$ we derive the result obtained in [3]

$$\omega^2(C) \leq \frac{1}{2} \left(\frac{1}{2} \|A^2 + B^2\| + \omega(BA) \right).$$

Moreover, if $p, q > 1$ with $\frac{1}{p} + \frac{1}{q} = 1$ and take $r = 2$ in (2.4), then we derive the inequality

$$\omega^4(C) \leq \frac{1}{2} \left(\left\| \frac{1}{p} A^{2p} + \frac{1}{q} B^{2q} \right\| + \omega^2(BA) \right),$$

which for $p = q = 2$ provides

$$\omega^4(C) \leq \frac{1}{2} \left(\frac{1}{2} \|A^4 + B^4\| + \omega^2(BA) \right).$$

We also have:

Theorem 1. Let $S, V \in \mathcal{B}(H)$, then for $r > 0$, $p, q > 1$ with $\frac{1}{p} + \frac{1}{q} = 1$ and $pr, qr \geq 1$,

$$(2.6) \quad \omega^{2r}(SV) \leq \left\| \frac{1}{p} |S^*|^{2pr} + \frac{1}{q} |V|^{2qr} \right\|.$$

If $r \geq 1$, then

$$(2.7) \quad \omega^{2r}(SV) \leq \frac{1}{2} \left[\|S\|^{2r} \|V\|^{2r} + \omega^r(|V|^2 |S^*|^2) \right].$$

If $r \geq 1$, $p, q > 1$ with $\frac{1}{p} + \frac{1}{q} = 1$ and $pr, qr \geq 2$, then also

$$(2.8) \quad \omega^{2r}(SV) \leq \frac{1}{2} \left(\left\| \frac{1}{p} |S^*|^{2pr} + \frac{1}{q} |V|^{2qr} \right\| + \omega^r(|V|^2 |S^*|^2) \right).$$

Proof. Observe that the operator matrix

$$\begin{bmatrix} SS^* & SV \\ V^*S^* & V^*V \end{bmatrix} \in \mathcal{B}(H \oplus H)$$

is positive. Then by Lemma 2 for $A = |S^*|^2$, $B = |V|^2$ and $C = V^*S^*$ we get the desired inequalities (2.6)-(2.8). \square

Remark 2. With the assumptions of Theorem 1, if we take $p = q = 2$ and assume that $r \geq \frac{1}{2}$, then from (2.6) we get

$$\omega^{2r}(SV) \leq \frac{1}{2} \left\| |S^*|^{4r} + |V|^{4r} \right\|.$$

If we take $r = \frac{1}{2}$, then we obtain the known result

$$\omega(SV) \leq \frac{1}{2} \left\| |S^*|^2 + |V|^2 \right\|,$$

while for $r = 1$, the result from [3]

$$\omega^2(SV) \leq \frac{1}{2} \left\| |S^*|^4 + |V|^4 \right\|.$$

If we take in (2.6) $r = 1$, then we get

$$\omega^2(SV) \leq \left\| \frac{1}{p} |S^*|^{2p} + \frac{1}{q} |V|^{2q} \right\|,$$

where $p, q > 1$ with $\frac{1}{p} + \frac{1}{q} = 1$.

If we take $r = 1$ in (2.7), then we get, see also [3]

$$\omega^2(SV) \leq \frac{1}{2} \left[\|S\|^2 \|V\|^2 + \omega(|V|^2 |S^*|^2) \right],$$

while for $r = 2$,

$$\omega^4(SV) \leq \frac{1}{2} \left[\|S\|^4 \|V\|^4 + \omega^2(|V|^2 |S^*|^2) \right].$$

Also, if we take $p = q = 2$ and $r \geq 1$ in (2.8), then we get

$$\omega^{2r}(SV) \leq \frac{1}{2} \left(\frac{1}{2} \| |S^*|^{4r} + |V|^{4r} \| + \omega^r(|V|^2 |S^*|^2) \right).$$

In particular, for $r = 1$ we derive the result obtained in [3]

$$\omega^2(SV) \leq \frac{1}{2} \left(\frac{1}{2} \| |S^*|^4 + |V|^4 \| + \omega(|V|^2 |S^*|^2) \right).$$

Moreover, if $p, q > 1$ with $\frac{1}{p} + \frac{1}{q} = 1$ and take $r = 2$ in (2.8), then we derive the inequality

$$\omega^4(SV) \leq \frac{1}{2} \left(\left\| \frac{1}{p} |S^*|^{4p} + \frac{1}{q} |V|^{4q} \right\| + \omega^2(|V|^2 |S^*|^2) \right),$$

which for $p = q = 2$ provides

$$\omega^4(SV) \leq \frac{1}{2} \left(\frac{1}{2} \| |S^*|^8 + |V|^8 \| + \omega^2(|V|^2 |S^*|^2) \right).$$

We also have:

Lemma 3. Let $A, B, C \in \mathcal{B}(H)$ with $A, B \geq 0$. If the operator matrix

$$\begin{bmatrix} A & C^* \\ C & B \end{bmatrix} \in \mathcal{B}(H \oplus H)$$

is positive then for $\alpha \in [0, 1]$ and $r \geq 1$,

$$(2.9) \quad \omega^2(C) \leq \|(1 - \alpha) A^r + \alpha B^r\|^{1/r} \|A\|^\alpha \|B\|^{1-\alpha}$$

and

$$(2.10) \quad \omega^2(C) \leq \|(1 - \alpha) A^r + \alpha B^r\|^{1/r} \|\alpha A^r + (1 - \alpha) B^r\|^{1/r}.$$

Proof. From Lemma 1 we have for $\alpha \in [0, 1]$ that

$$\begin{aligned} |\langle Cx, x \rangle|^2 &\leq \langle Ax, x \rangle \langle Bx, x \rangle = \langle Ax, x \rangle^{1-\alpha} \langle Bx, x \rangle^\alpha \langle Ax, x \rangle^\alpha \langle Bx, x \rangle^{1-\alpha} \\ &\leq [(1 - \alpha) \langle Ax, x \rangle + \alpha \langle Bx, x \rangle] \langle Ax, x \rangle^\alpha \langle Bx, x \rangle^{1-\alpha} \end{aligned}$$

for all $x \in H$, $\|x\| = 1$.

If we take the power $r \geq 1$, then we get by the convexity of power r

$$(2.11) \quad \begin{aligned} |\langle Cx, x \rangle|^{2r} &\leq [(1 - \alpha) \langle Ax, x \rangle + \alpha \langle Bx, x \rangle]^r \langle Ax, x \rangle^{r\alpha} \langle Bx, x \rangle^{r(1-\alpha)} \\ &\leq [(1 - \alpha) \langle Ax, x \rangle^r + \alpha \langle Bx, x \rangle^r] \langle Ax, x \rangle^{r\alpha} \langle Bx, x \rangle^{r(1-\alpha)}. \end{aligned}$$

If we use McCarthy inequality for power $r \geq 1$, then we get

$$\begin{aligned} (1 - \alpha) \langle Ax, x \rangle^r + \alpha \langle Bx, x \rangle^r &\leq (1 - \alpha) \langle A^r x, x \rangle + \alpha \langle B^r x, x \rangle \\ &= \langle [(1 - \alpha) A^r + \alpha B^r] x, x \rangle \end{aligned}$$

and by (2.11), we obtain

$$\begin{aligned} |\langle Cx, x \rangle|^{2r} &\leq [(1 - \alpha) \langle Ax, x \rangle + \alpha \langle Bx, x \rangle]^r \langle Ax, x \rangle^{r\alpha} \langle Bx, x \rangle^{r(1-\alpha)} \\ &\leq \langle [(1 - \alpha) A^r + \alpha B^r] x, x \rangle \langle Ax, x \rangle^{r\alpha} \langle Bx, x \rangle^{r(1-\alpha)} \end{aligned}$$

for all $x \in H$, $\|x\| = 1$.

If we take the supremum over $\|x\| = 1$, then we get

$$\begin{aligned} \omega^{2r}(C) &= \sup_{\|x\|=1} |\langle Cx, x \rangle|^{2r} \\ &\leq \sup_{\|x\|=1} \left\{ \langle [(1-\alpha)A^r + \alpha B^r]x, x \rangle \langle Ax, x \rangle^{r\alpha} \langle Bx, x \rangle^{r(1-\alpha)} \right\} \\ &\leq \sup_{\|x\|=1} \left\{ \langle [(1-\alpha)A^r + \alpha B^r]x, x \rangle \right\} \sup_{\|x\|=1} \langle BAx, x \rangle^{r\alpha} \sup_{\|x\|=1} \langle Bx, x \rangle^{r(1-\alpha)} \\ &= \|(1-\alpha)A^r + \alpha B^r\| \|A\|^{r\alpha} \|B\|^{r(1-\alpha)}, \end{aligned}$$

which is equivalent to (2.9).

Similarly

$$\begin{aligned} |\langle Cx, x \rangle|^2 &\leq \langle Ax, x \rangle \langle Bx, x \rangle = \langle Ax, x \rangle^{1-\alpha} \langle Bx, x \rangle^\alpha \langle Ax, x \rangle^\alpha \langle Bx, x \rangle^{1-\alpha} \\ &\leq [(1-\alpha)\langle Ax, x \rangle + \alpha\langle Bx, x \rangle] [\alpha\langle Ax, x \rangle + (1-\alpha)\langle Bx, x \rangle], \end{aligned}$$

which gives that

$$|\langle Cx, x \rangle|^{2r} \leq \langle [(1-\alpha)A^r + \alpha B^r]x, x \rangle \langle [\alpha A^r + (1-\alpha)B^r]x, x \rangle$$

for all $x \in H$, $\|x\| = 1$. This proves (2.10). \square

Corollary 1. *With the assumption of Lemma 3, we have*

$$(2.12) \quad \omega^2(C) \leq \frac{1}{2^{1/r}} \|A^r + B^r\|^{1/r} \|A\|^{1/2} \|B\|^{1/2}$$

and

$$(2.13) \quad \omega(C) \leq \frac{1}{2^{1/r}} \|A^r + B^r\|^{1/r}.$$

We have:

Theorem 2. *Let $S, V \in \mathcal{B}(H)$, then for $\alpha \in [0, 1]$ and $r \geq 1$,*

$$(2.14) \quad \omega^2(SV) \leq \left\| (1-\alpha)|S^*|^{2r} + \alpha|V|^{2r} \right\|^{1/r} \|S\|^{2\alpha} \|V\|^{2(1-\alpha)}$$

and

$$(2.15) \quad \omega^2(SV) \leq \left\| (1-\alpha)|S^*|^{2r} + \alpha|V|^{2r} \right\|^{1/r} \left\| \alpha|S^*|^{2r} + (1-\alpha)|V|^{2r} \right\|^{1/r}.$$

In particular,

$$(2.16) \quad \omega^2(SV) \leq \frac{1}{2^{1/r}} \left\| |S^*|^{2r} + |V|^{2r} \right\|^{1/r} \|S\| \|V\|$$

and

$$(2.17) \quad \omega(SV) \leq \frac{1}{2^{1/r}} \left\| |S^*|^{2r} + |V|^{2r} \right\|^{1/r}.$$

Proof. We take in Lemma 3 $A = |S^*|^2$, $B = |V|^2$ and $C = V^*S^*$ to get the desired inequalities. \square

3. APPLICATIONS FOR GENERALIZED ALUTHGE TRANSFORM

We have the following inequalities for one operator:

Theorem 3. *Let $T \in \mathcal{B}(H)$ and $t \in [0, 1]$, then*

$$(3.1) \quad \omega^{2r}(T) \leq \left\| \frac{1}{p} |T^*|^{2tpr} + \frac{1}{q} |T|^{2(1-t)qr} \right\|,$$

for $r > 0$, $p, q > 1$ with $\frac{1}{p} + \frac{1}{q} = 1$ and $pr, qr \geq 1$.

Also, for $r \geq 1$,

$$(3.2) \quad \omega^{2r}(T) \leq \frac{1}{2} \left[\|T\|^{2r} + \omega^r \left(|T|^{2(1-t)} |T^*|^{2t} \right) \right].$$

If $r \geq 1$, $p, q > 1$ with $\frac{1}{p} + \frac{1}{q} = 1$ and $pr, qr \geq 2$, then

$$(3.3) \quad \omega^{2r}(T) \leq \frac{1}{2} \left(\left\| \frac{1}{p} |T^*|^{2tpr} + \frac{1}{q} |T|^{2(1-t)qr} \right\| + \omega^r \left(|T|^{2(1-t)} |T^*|^{2t} \right) \right).$$

Moreover, if $\alpha \in [0, 1]$ and $r \geq 1$, then

$$(3.4) \quad \omega^2(T) \leq \left\| (1-\alpha) |T^*|^{2tr} + \alpha |T|^{2(1-t)r} \right\|^{1/r} \|T\|^{2[t\alpha + (1-t)(1-\alpha)]}.$$

Proof. If we take $S = U |T|^t$ and $V = |T|^{1-t}$ in (2.6) and observe that $SV = U |T| = T$,

$$|S^*|^2 = SS^* = U |T|^t |T|^t U^* = U |T|^{2t} U^* = |T^*|^{2t},$$

then

$$\omega^{2r}(T) \leq \left\| \frac{1}{p} |T^*|^{2tpr} + \frac{1}{q} |T|^{2(1-t)qr} \right\|,$$

which proves (3.1).

The same choice of S and V in (2.7) gives

$$(3.5) \quad \omega^{2r}(T) \leq \frac{1}{2} \left[\left\| U |T|^t \right\|^{2r} \|T\|^{2(1-t)r} + \omega^r \left(|T|^{2(1-t)} |T^*|^{2t} \right) \right].$$

Observe that

$$|S|^2 = S^*S = |T|^t U^*U |T|^t = |T|^t |T|^t = |T|^{2t}$$

since U is an isometry on $\text{ran}(|T|)$. Then $\left\| U |T|^t \right\|^{2r} = \|T\|^{2rt}$ and by (3.5) we get (3.2).

If $r \geq 1$, $p, q > 1$ with $\frac{1}{p} + \frac{1}{q} = 1$ and $pr, qr \geq 2$, then by (2.8) we get (3.3).

Further, if we use (2.14) for $S = U |T|^t$ and $V = |T|^{1-t}$ we also get for $\alpha \in [0, 1]$ and $r \geq 1$ that

$$\begin{aligned} \omega^2(T) &\leq \left\| (1-\alpha) |T^*|^{2tr} + \alpha |T|^{2(1-t)r} \right\|^{1/r} \|T\|^{2t\alpha} \|T\|^{2(1-t)(1-\alpha)} \\ &= \left\| (1-\alpha) |T^*|^{2tr} + \alpha |T|^{2(1-t)r} \right\|^{1/r} \|T\|^{2[t\alpha + (1-t)(1-\alpha)]}, \end{aligned}$$

which proves (3.4). □

Corollary 2. *Let $T \in \mathcal{B}(H)$, then*

$$(3.6) \quad \omega^{2r}(T) \leq \left\| \frac{1}{p} |T^*|^{pr} + \frac{1}{q} |T|^{qr} \right\|,$$

for $r > 0$, $p, q > 1$ with $\frac{1}{p} + \frac{1}{q} = 1$ and $pr, qr \geq 1$.

Also, for $r \geq 1$

$$(3.7) \quad \omega^{2r}(T) \leq \frac{1}{2} \left[\|T\|^{2r} + \omega^r(|T| |T^*|) \right].$$

If $r \geq 1$, $p, q > 1$ with $\frac{1}{p} + \frac{1}{q} = 1$ and $pr, qr \geq 2$, then

$$(3.8) \quad \omega^{2r}(T) \leq \frac{1}{2} \left(\left\| \frac{1}{p} |T^*|^{pr} + \frac{1}{q} |T|^{qr} \right\| + \omega^r(|T| |T^*|) \right).$$

Moreover, if $\alpha \in [0, 1]$ and $r \geq 1$, then

$$(3.9) \quad \omega^2(T) \leq \|(1 - \alpha) |T^*|^r + \alpha |T|^r\|^{1/r} \|T\|.$$

Remark 3. If we take $r = 1$ in (3.6), then we get

$$\omega^2(T) \leq \left\| \frac{1}{p} |T^*|^p + \frac{1}{q} |T|^q \right\|,$$

for $p, q > 1$ with $\frac{1}{p} + \frac{1}{q} = 1$. In particular, for $p = q = 2$ we get

$$\omega^2(T) \leq \frac{1}{2} \left\| |T^*|^2 + |T|^2 \right\|.$$

If we take $r = 1$ in (3.2), then we get

$$\omega^2(T) \leq \frac{1}{2} \left[\|T\|^2 + \omega(|T|^{2(1-t)} |T^*|^{2t}) \right].$$

If $r = 1$ and $p = q = 2$, then by (3.8) we get

$$\omega^2(T) \leq \frac{1}{2} \left(\frac{1}{2} \left\| |T^*|^2 + |T|^2 \right\| + \omega(|T| |T^*|) \right).$$

If we take $r = 2$ and $p, q > 1$ with $\frac{1}{p} + \frac{1}{q} = 1$ in (3.8), then we obtain

$$\omega^4(T) \leq \frac{1}{2} \left(\left\| \frac{1}{p} |T^*|^{2p} + \frac{1}{q} |T|^{2q} \right\| + \omega^2(|T| |T^*|) \right),$$

which for $p = q = 2$ gives

$$\omega^4(T) \leq \frac{1}{2} \left(\frac{1}{2} \left\| |T^*|^4 + |T|^4 \right\| + \omega^2(|T| |T^*|) \right).$$

We also have:

Theorem 4. Let $T \in \mathcal{B}(H)$ and $t \in [0, 1]$, then

$$(3.10) \quad \omega^{2r}(\Delta_t(T)) \leq \left\| \frac{1}{p} |U^* |T|^t|^{2pr} + \frac{1}{q} |T|^{2(1-t)qr} \right\|$$

for $r > 0$, $p, q > 1$ with $\frac{1}{p} + \frac{1}{q} = 1$ and $pr, qr \geq 1$.

Also

$$(3.11) \quad \omega^{2r}(\Delta_t(T)) \leq \frac{1}{2} \left[\|T\|^{2r} + \omega^r(|T|^{2-t} U U^* |T|^t) \right]$$

for $r \geq 1$.

Moreover,

$$(3.12) \quad \begin{aligned} \omega^{2r}(\Delta_t(T)) &\leq \frac{1}{2} \left(\left\| \frac{1}{p} |U^* |T|^t|^{2pr} + \frac{1}{q} |T|^{2(1-t)qr} \right\| + \omega^r(|T|^{2-t} U U^* |T|^t) \right) \end{aligned}$$

for $r \geq 1$, $p, q > 1$ with $\frac{1}{p} + \frac{1}{q} = 1$ and $pr, qr \geq 2$.

Also, for $\alpha \in [0, 1]$ and $r \geq 1$,

$$(3.13) \quad \omega^2(\Delta_t(T)) \leq \left\| (1-\alpha) \left| U^* |T|^t \right|^{2pr} + \alpha |T|^{2(1-t)qr} \right\|^{1/r} \|T\|^{2[t\alpha + (1-t)(1-\alpha)]}.$$

Proof. If we take $S = |T|^t U$ and $V = |T|^{1-t}$ in (2.6) and observe that $SV = |T|^t U |T|^{1-t} = \Delta_t(T)$, then we get

$$\omega^{2r}(\Delta_t(T)) \leq \left\| \frac{1}{p} \left| U^* |T|^t \right|^{2pr} + \frac{1}{q} |T|^{2(1-t)qr} \right\|.$$

With the same choice and by using (2.7) we derive

$$\begin{aligned} \omega^{2r}(\Delta_t(T)) &\leq \frac{1}{2} \left[\left\| |T|^t U \right\|^{2r} \|T\|^{2(1-t)r} + \omega^r \left(|T|^{2(1-t)} |T|^t U U^* |T|^t \right) \right] \\ &= \frac{1}{2} \left[\left\| |T|^t U \right\|^{2r} \|T\|^{2(1-t)r} + \omega^r \left(|T|^{2-t} U U^* |T|^t \right) \right] \\ &\leq \frac{1}{2} \left[\|T\|^{2tr} \|T\|^{2(1-t)r} + \omega^r \left(|T|^{2-t} U U^* |T|^t \right) \right] \\ &= \frac{1}{2} \left[\|T\|^{2r} + \omega^r \left(|T|^{2-t} U U^* |T|^t \right) \right], \end{aligned}$$

which proves (3.11).

If $r \geq 1$, $p, q > 1$ with $\frac{1}{p} + \frac{1}{q} = 1$ and $pr, qr \geq 2$, then from (2.8) we get (3.12).

If we take $S = |T|^t U$ and $V = |T|^{1-t}$ in (2.14) then for $\alpha \in [0, 1]$ and $r \geq 1$,

$$\begin{aligned} &\omega^2(\Delta_t(T)) \\ &\leq \left\| (1-\alpha) \left| U^* |T|^t \right|^{2pr} + \alpha |T|^{2(1-t)qr} \right\|^{1/r} \left\| |T|^t U \right\|^{2\alpha} \|T\|^{2(1-t)(1-\alpha)} \\ &\leq \left\| (1-\alpha) \left| U^* |T|^t \right|^{2pr} + \alpha |T|^{2(1-t)qr} \right\|^{1/r} \|T\|^{2t\alpha} \|T\|^{2(1-t)(1-\alpha)} \\ &= \left\| (1-\alpha) \left| U^* |T|^t \right|^{2pr} + \alpha |T|^{2(1-t)qr} \right\|^{1/r} \|T\|^{2[t\alpha + (1-t)(1-\alpha)]}, \end{aligned}$$

which proves (3.13). \square

For $t = 1/2$ we obtain the following inequalities for the Aluthge transform \tilde{T} .

Corollary 3. *Let $T \in \mathcal{B}(H)$, then*

$$(3.14) \quad \omega^{2r}(\tilde{T}) \leq \left\| \frac{1}{p} \left| U^* |T|^{1/2} \right|^{2pr} + \frac{1}{q} |T|^{qr} \right\|$$

for $r > 0$, $p, q > 1$ with $\frac{1}{p} + \frac{1}{q} = 1$ and $pr, qr \geq 1$.

Also

$$(3.15) \quad \omega^{2r}(\tilde{T}) \leq \frac{1}{2} \left[\|T\|^{2r} + \omega^r \left(|T|^{3/2} U U^* |T|^{1/2} \right) \right]$$

for $r \geq 1$.

Moreover,

$$(3.16) \quad \omega^{2r}(\tilde{T}) \leq \frac{1}{2} \left(\left\| \frac{1}{p} \left| U^* |T|^{1/2} \right|^{2pr} + \frac{1}{q} |T|^{qr} \right\| + \omega^r \left(|T|^{3/2} U U^* |T|^{1/2} \right) \right)$$

for $r \geq 1$, $p, q > 1$ with $\frac{1}{p} + \frac{1}{q} = 1$ and $pr, qr \geq 2$.
 Also, for $\alpha \in [0, 1]$ and $r \geq 1$,

$$(3.17) \quad \omega^2(\tilde{T}) \leq \left\| (1 - \alpha) |U^* |T|^{1/2}|^{2pr} + \alpha |T|^{qr} \right\|^{1/r} \|T\|.$$

For $t = 1$ we also obtain the following results for the Dougal transform \hat{T} .

Corollary 4. Let $T \in \mathcal{B}(H)$, then

$$\omega^{2r}(\hat{T}) \leq \left\| \frac{1}{p} |(\hat{T})^*|^{2pr} + \frac{1}{q} I \right\|$$

for $r > 0$, $p, q > 1$ with $\frac{1}{p} + \frac{1}{q} = 1$ and $pr, qr \geq 1$.
 Also

$$\omega^{2r}(\hat{T}) \leq \frac{1}{2} \left[\|T\|^{2r} + \|\hat{T}\|^{2r} \right]$$

for $r \geq 1$.

Moreover,

$$\omega^{2r}(\hat{T}) \leq \frac{1}{2} \left(\left\| \frac{1}{p} |(\hat{T})^*|^{2pr} + \frac{1}{q} I \right\| + \|\hat{T}\|^{2r} \right)$$

for $r \geq 1$, $p, q > 1$ with $\frac{1}{p} + \frac{1}{q} = 1$ and $pr, qr \geq 2$.
 Also, for $\alpha \in [0, 1]$ and $r \geq 1$,

$$\omega^2(\hat{T}) \leq \left\| (1 - \alpha) |(\hat{T})^*|^{2pr} + \alpha I \right\|^{1/r} \|T\|^{2\alpha}.$$

For $t = 0$ we also get:

Corollary 5. Let $T \in \mathcal{B}(H)$, then

$$\omega^{2r}(T) \leq \left\| \frac{1}{p} |U^*|^{2pr} + \frac{1}{q} |T|^{2qr} \right\|$$

for $r > 0$, $p, q > 1$ with $\frac{1}{p} + \frac{1}{q} = 1$ and $pr, qr \geq 1$.
 Also

$$\omega^{2r}(T) \leq \frac{1}{2} \left[\|T\|^{2r} + \omega^r(|T|^2 UU^*) \right]$$

for $r \geq 1$.

Moreover,

$$\omega^{2r}(T) \leq \frac{1}{2} \left(\left\| \frac{1}{p} |U^*|^{2pr} + \frac{1}{q} |T|^{2qr} \right\| + \omega^r(|T|^2 UU^*) \right)$$

for $r \geq 1$, $p, q > 1$ with $\frac{1}{p} + \frac{1}{q} = 1$ and $pr, qr \geq 2$.
 Also, for $\alpha \in [0, 1]$ and $r \geq 1$,

$$\omega^2(T) \leq \left\| (1 - \alpha) |U^*|^{2pr} + \alpha |T|^{2qr} \right\|^{1/r} \|T\|^{2(1-\alpha)}.$$

We also have the following upper bounds for the numerical radius of generalized Aluthge transform:

Theorem 5. *Let $T \in \mathcal{B}(H)$ and $t \in [0, 1]$, then*

$$(3.18) \quad \omega^{2r}(\Delta_t(T)) \leq \left\| \frac{1}{p} |T|^{2tpr} + \frac{1}{q} |T|^{2(1-t)qr} \right\|$$

for $r > 0$, $p, q > 1$ with $\frac{1}{p} + \frac{1}{q} = 1$ and $pr, qr \geq 1$.

If $r \geq 1$, $p, q > 1$ with $\frac{1}{p} + \frac{1}{q} = 1$ and $pr, qr \geq 2$, then

$$(3.19) \quad \omega^{2r}(\Delta_t(T)) \leq \frac{1}{2} \left(\left\| \frac{1}{p} |T|^{2tpr} + \frac{1}{q} |T|^{2(1-t)qr} \right\| + \|T\|^{2r} \right).$$

Also, for $\alpha \in [0, 1]$ and $r \geq 1$,

$$(3.20) \quad \omega^2(\Delta_t(T)) \leq \left\| (1-\alpha) |T|^{2tr} + \alpha |T|^{2(1-t)r} \right\|^{1/r} \|T\|^{2[t\alpha + (1-t)(1-\alpha)]}.$$

Proof. If we take $S = |T|^t$ and $V = U |T|^{1-t}$ and observe that $SV = |T|^t U |T|^{1-t} = \Delta_t(T)$,

$$|V|^{2qr} = (V^*V)^{qr} = \left(|T|^{1-t} U^*U |T|^{1-t} \right)^{qr} = |T|^{2(1-t)qr}$$

then by (2.6) we get (3.18).

If $r \geq 1$, $p, q > 1$ with $\frac{1}{p} + \frac{1}{q} = 1$ and $pr, qr \geq 2$, then by the same choices in (2.8) we obtain

$$\begin{aligned} \omega^{2r}(\Delta_t(T)) &\leq \frac{1}{2} \left(\left\| \frac{1}{p} |T|^{2tpr} + \frac{1}{q} |T|^{2(1-t)qr} \right\| + \omega^r \left(|T|^{2(1-t)} |T|^{2t} \right) \right) \\ &= \frac{1}{2} \left(\left\| \frac{1}{p} |T|^{2tpr} + \frac{1}{q} |T|^{2(1-t)qr} \right\| + \|T\|^{2r} \right), \end{aligned}$$

which proves (3.19).

For $\alpha \in [0, 1]$ and $r \geq 1$, then by (2.14) we get

$$\begin{aligned} \omega^2(\Delta_t(T)) &\leq \left\| (1-\alpha) |T|^{2tr} + \alpha |T|^{2(1-t)r} \right\|^{1/r} \|T\|^{2t\alpha} \|T\|^{2(1-t)(1-\alpha)} \\ &= \left\| (1-\alpha) |T|^{2tr} + \alpha |T|^{2(1-t)r} \right\|^{1/r} \|T\|^{2[t\alpha + (1-t)(1-\alpha)]}, \end{aligned}$$

which proves (3.20). □

Remark 4. *If we take $t = 1/2$ in (3.18) then we get*

$$(3.21) \quad \omega^{2r}(\tilde{T}) \leq \left\| \frac{1}{p} |T|^{pr} + \frac{1}{q} |T|^{qr} \right\|$$

for $r > 0$, $p, q > 1$ with $\frac{1}{p} + \frac{1}{q} = 1$ and $pr, qr \geq 1$.

If $r \geq 1$, $p, q > 1$ with $\frac{1}{p} + \frac{1}{q} = 1$ and $pr, qr \geq 2$, then by (3.19) we obtain

$$(3.22) \quad \omega^{2r}(\tilde{T}) \leq \frac{1}{2} \left(\left\| \frac{1}{p} |T|^{pr} + \frac{1}{q} |T|^{qr} \right\| + \|T\|^{2r} \right).$$

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